

# A Unifying View on SMT-Based Software Model Checking

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Based on:

Dirk Beyer, Matthias Dangl, Philipp Wendler:

## **A Unifying View on SMT-Based Software Verification**

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preprint: online on CPACHECKER website under  
“Documentation”

# SMT-based Software Model Checking

- ▶ Predicate Abstraction  
(BLAST, CPACHECKER, SLAM, ...)
- ▶ IMPACT  
(CPAchecker, IMPACT, WOLVERINE, ...)
- ▶ Bounded Model Checking  
(CBMC, CPAchecker, ESBMC, ...)
- ▶  $k$ -Induction  
(CPAchecker, ESBMC, 2LS, ...)
- ▶ Property-Directed Reachability (PDR, also known as IC3)  
(SEAHORN, VVT, ...)
- ▶ ...

# SMT-based Software Model Checking

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# Our Goals

- ▶ Provide a unifying conceptual framework for SMT-based algorithms
- ▶ Perform an extensive comparative evaluation
- ▶ Confirm intuitions about strengths
- ▶ Determine potential of extensions and combinations

# Approach

- ▶ Understand, and, if necessary, re-formulate the algorithms
- ▶ Implement all algorithms in one tool (CPACHECKER)
- ▶ Run the algorithms on a large set of benchmarks
- ▶ Measure efficiency and effectiveness

# Experimental Validity: All Algorithms in one Tool

Compare algorithms, not tools:

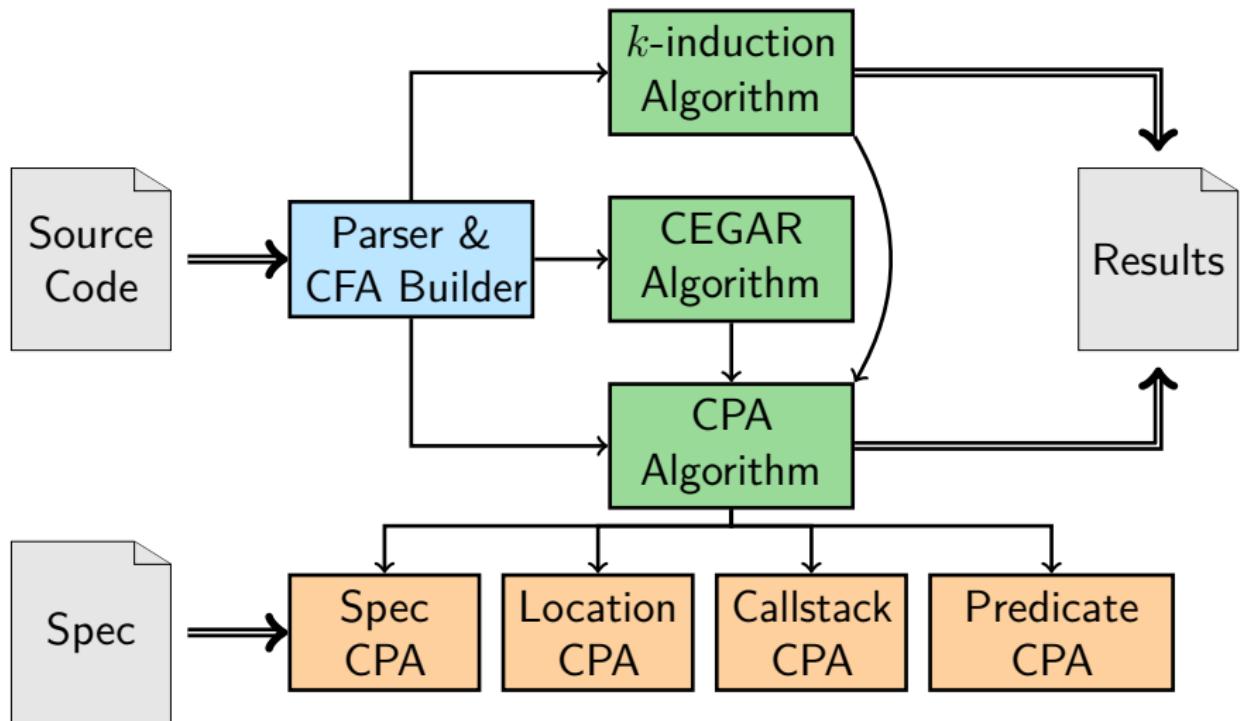
- ▶ Share same front-end code
  - ▶ Share same utilities
  - ▶ Share same SMT-solver integration
  - ▶ Share algorithm-independent optimizations
- Differences in performance must be caused by algorithms

# Configurable Program Analysis

Configurable Program Analysis (CPA):

- ▶ Beyer, Henzinger, Théoduloz: [\[CAV'07\]](#)
- ▶ One single unifying algorithm for all algorithms based on state-space exploration
- ▶ Configurable components: Abstract domain, abstract-successor computation, path sensitivity, ...
- ▶ Separation of concerns:
  - ▶ Reusable CPA for tracking the program counter
  - ▶ Reusable CPA for tracking the callstack
  - ▶ Reusable CPA representing the specification
  - ▶ Reusable CPA for combining multiple CPAs

# CPACHECKER



# Predicate Abstraction

- ▶ Predicate Abstraction
  - ▶ Graf, Saïdi: [CAV'97]
  - ▶ Abstract-Interpretation technique
  - ▶ Abstract domain constructed from a set of predicates  $\pi$
  - ▶ Use CEGAR to add predicates to  $\pi$  (refinement)
  - ▶ Derive new predicates using Craig interpolation
  - ▶ Good for finding proofs

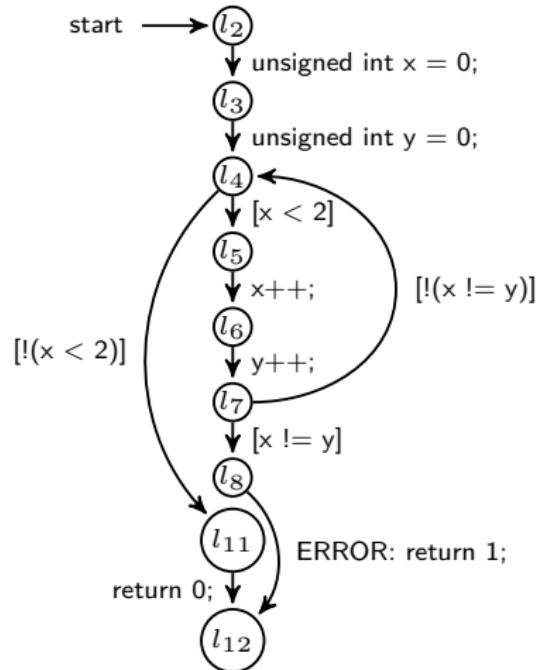
# Adjustable-Block Encoding

Adjustable-Block Encoding:

- ▶ Abstraction computation is expensive
- ▶ Abstraction is not necessary after every transition
- ▶ Track precise path formula between abstraction states
- ▶ Reset path formula and compute abstraction formula at abstraction states
- ▶ Large-Block Encoding: Abstraction only at loop (and function) heads
- ▶ Adjustable-Block Encoding: Introduce block operator "blk" to make it configurable

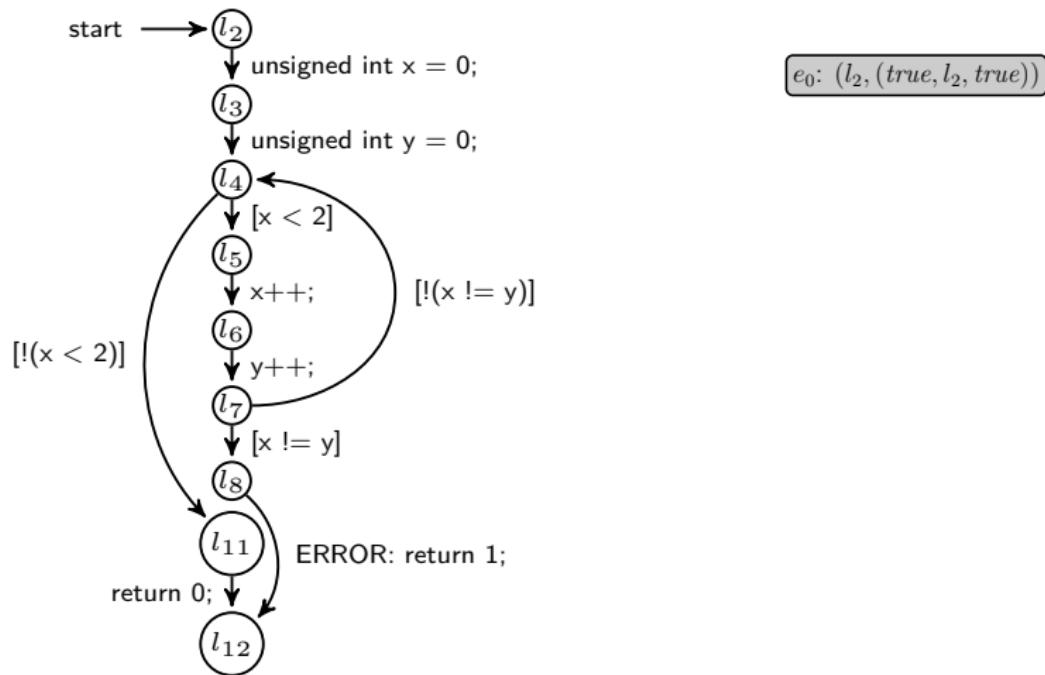
# Example Program

```
1 int main() {  
2     unsigned int x = 0;  
3     unsigned int y = 0;  
4     while (x < 2) {  
5         x++;  
6         y++;  
7         if (x != y) {  
8             ERROR: return 1;  
9         }  
10    }  
11    return 0;  
12 }
```



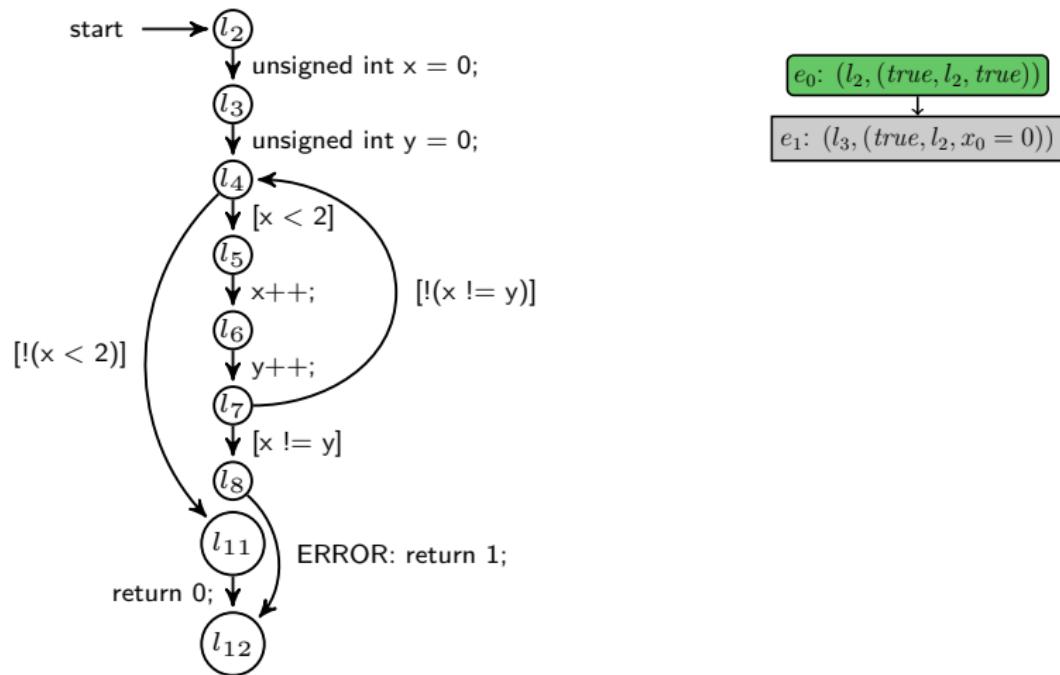
# Predicate Abstraction: Example

with  $\pi(l_4) = \{x = y\}$  and  $\pi(l_8) = \{\text{false}\}$



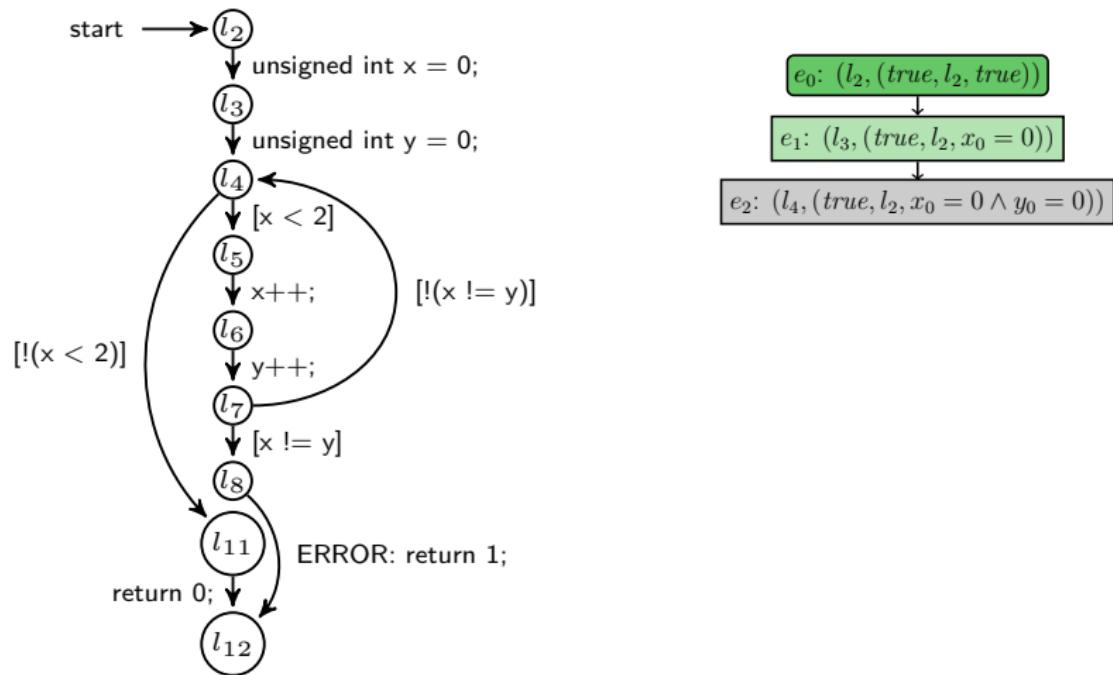
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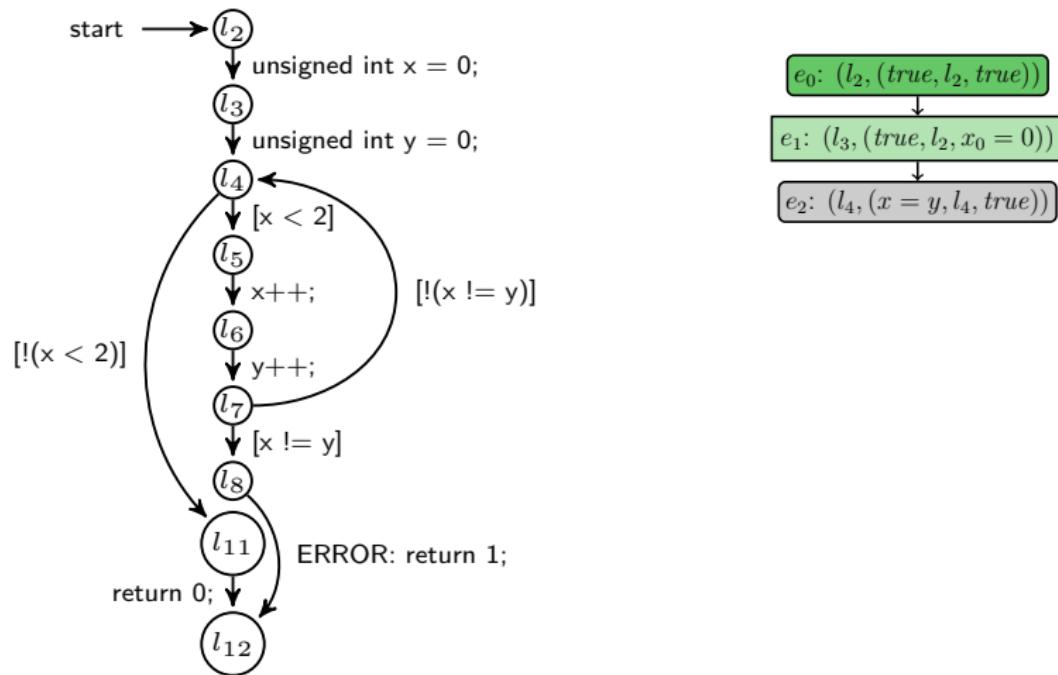
$e_0: (l_2, (\text{true}, l_2, \text{true}))$

$e_1: (l_3, (\text{true}, l_2, x_0 = 0))$

$e_2: (l_4, (\text{true}, l_2, x_0 = 0 \wedge y_0 = 0))$

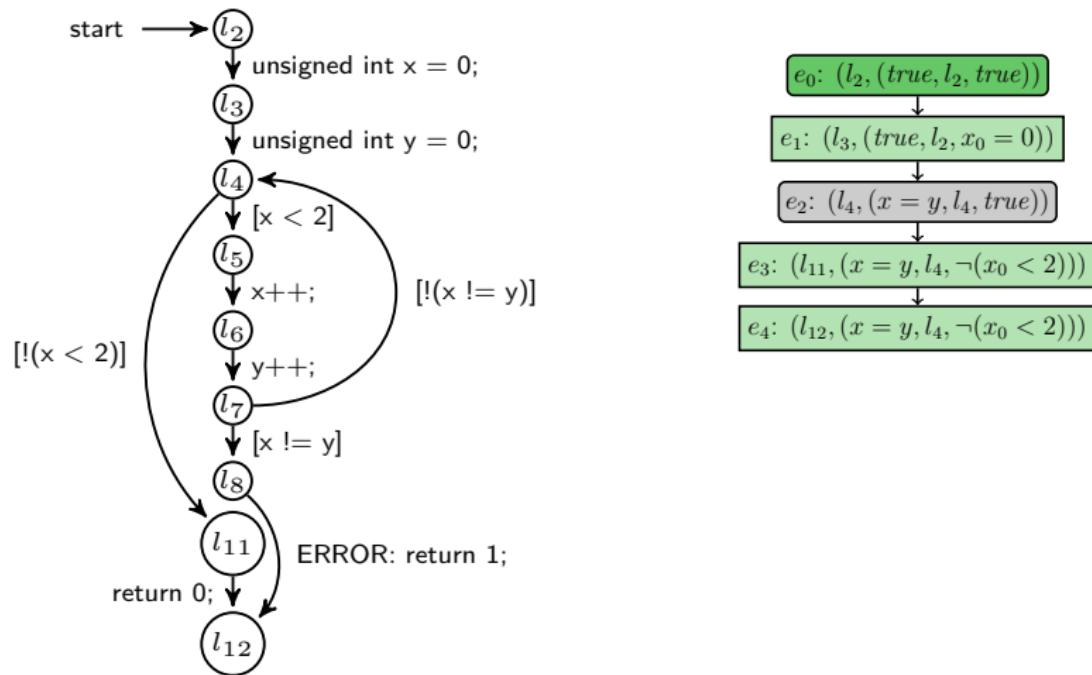
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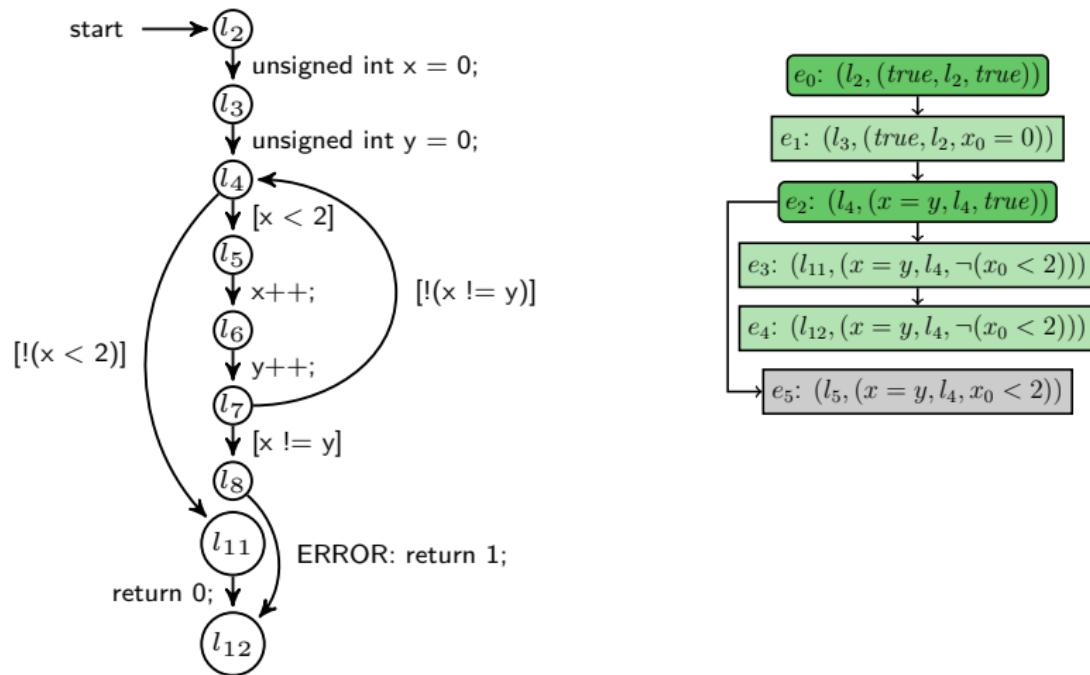
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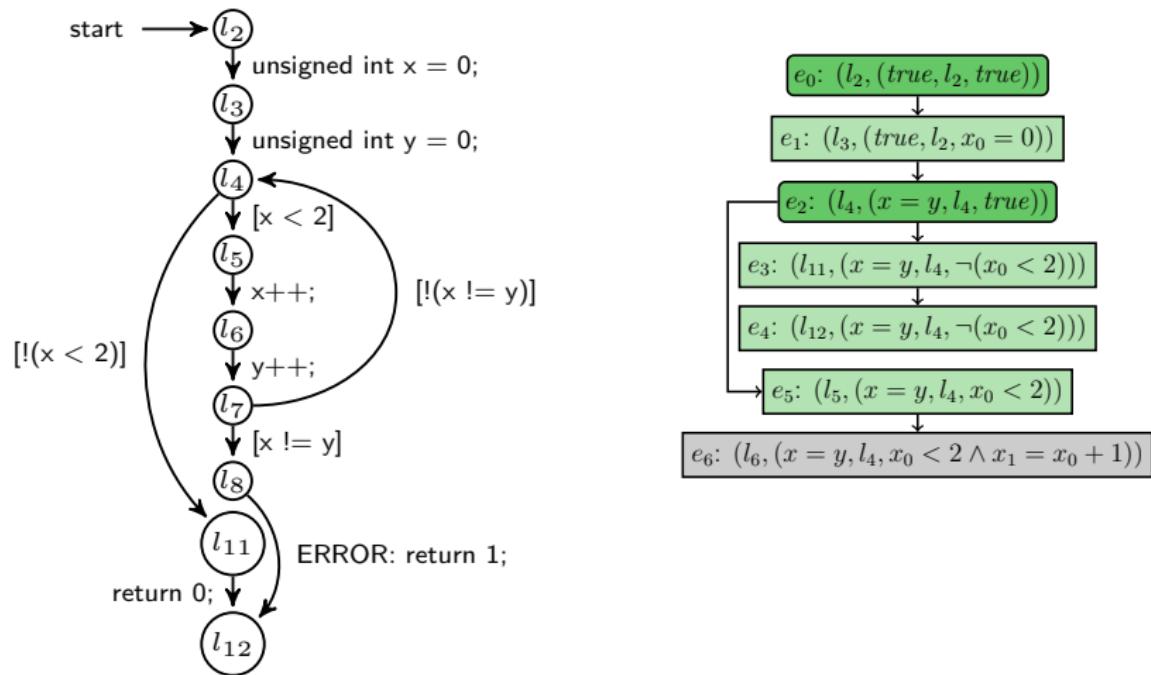
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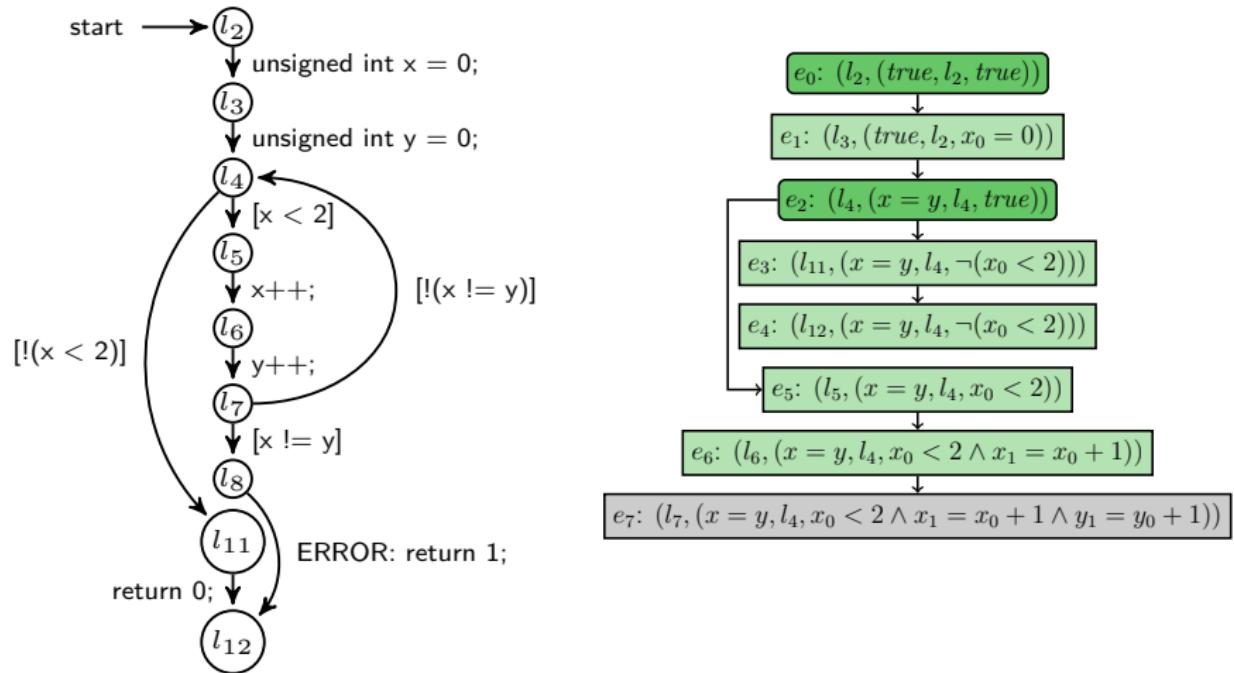
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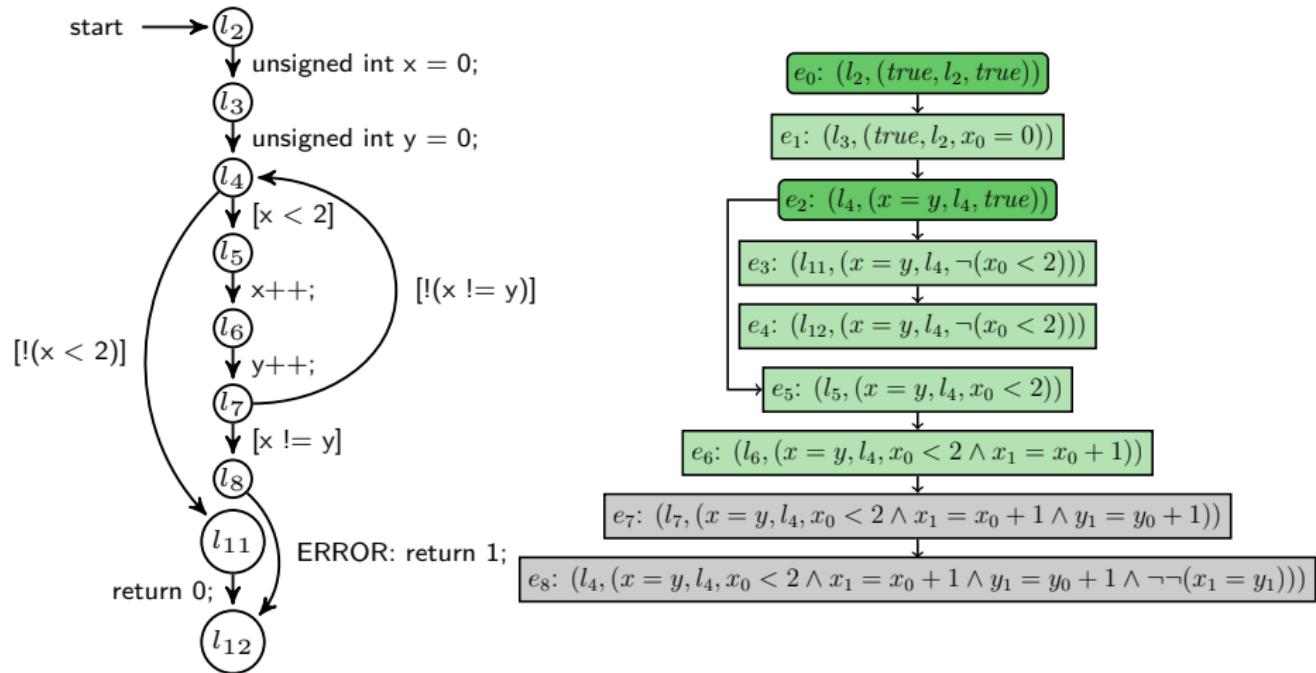
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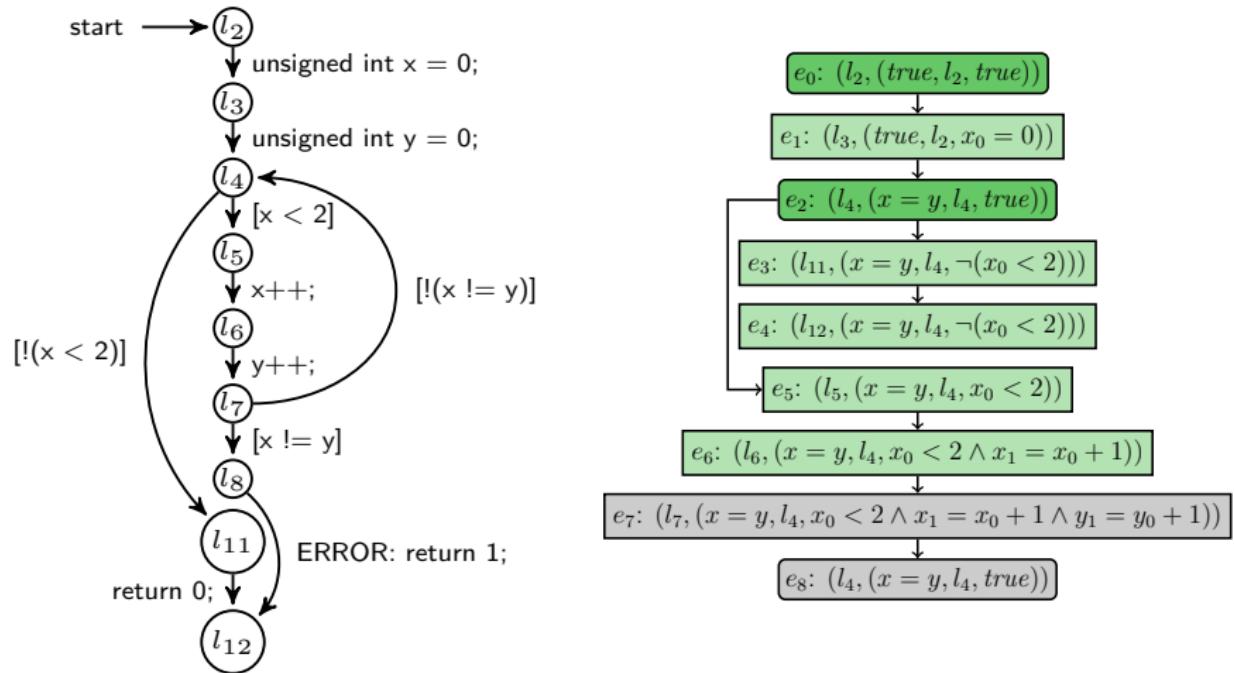
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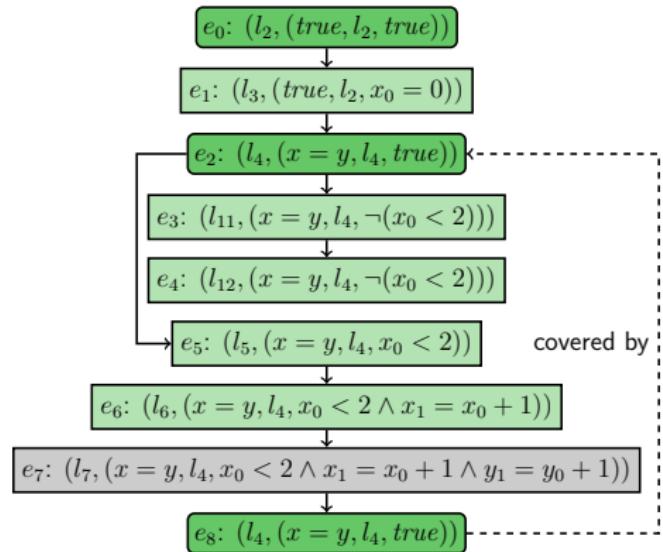
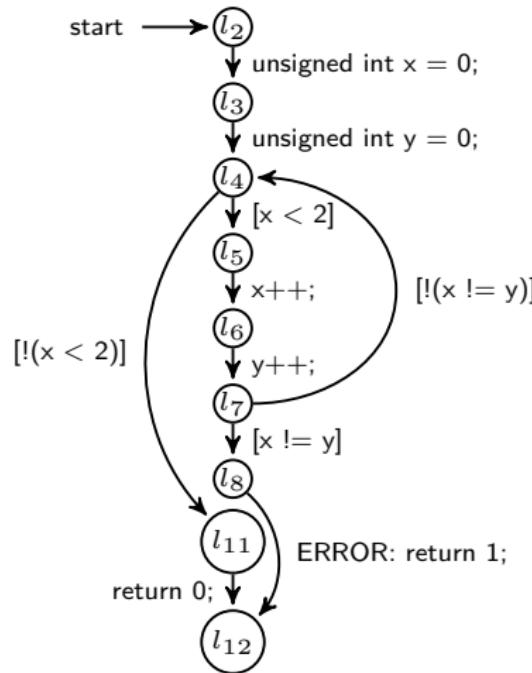
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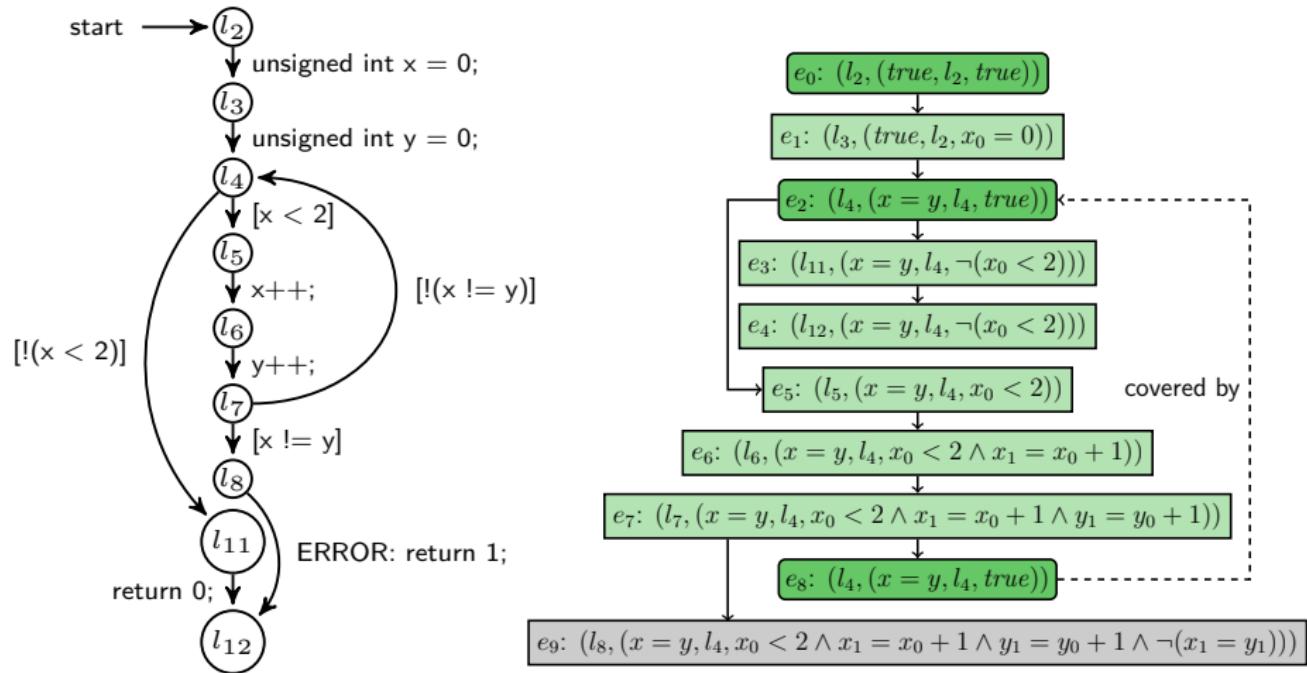
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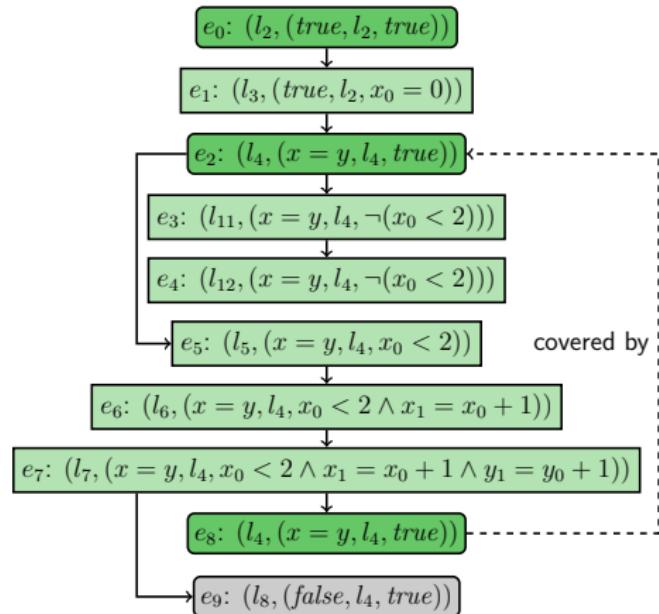
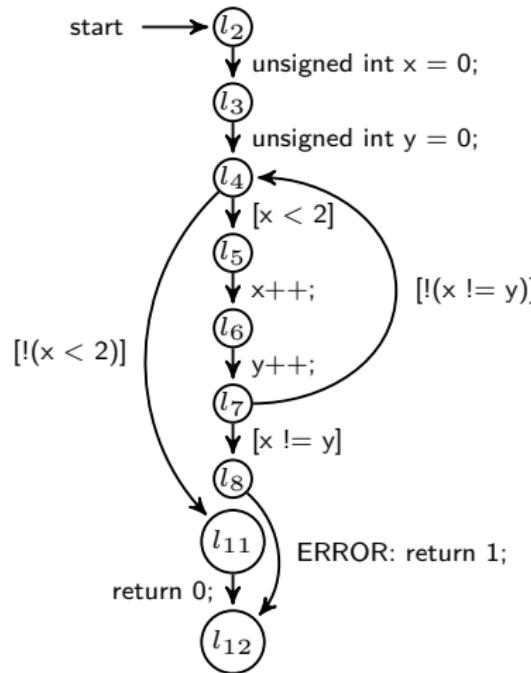
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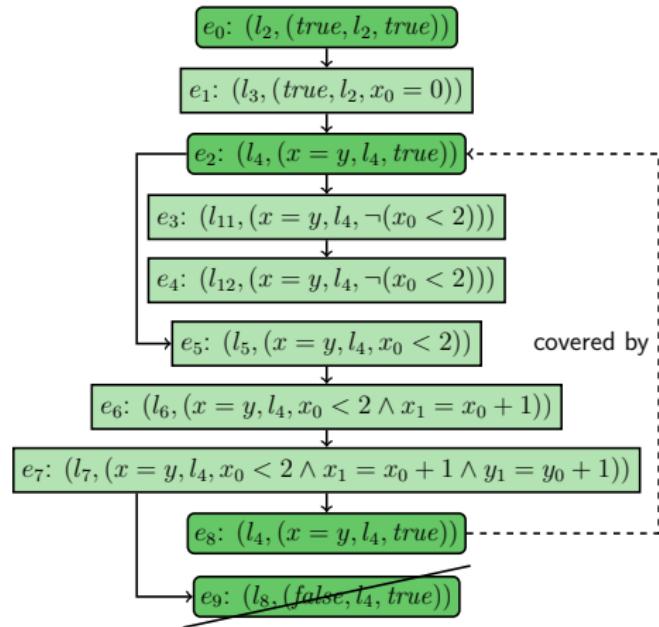
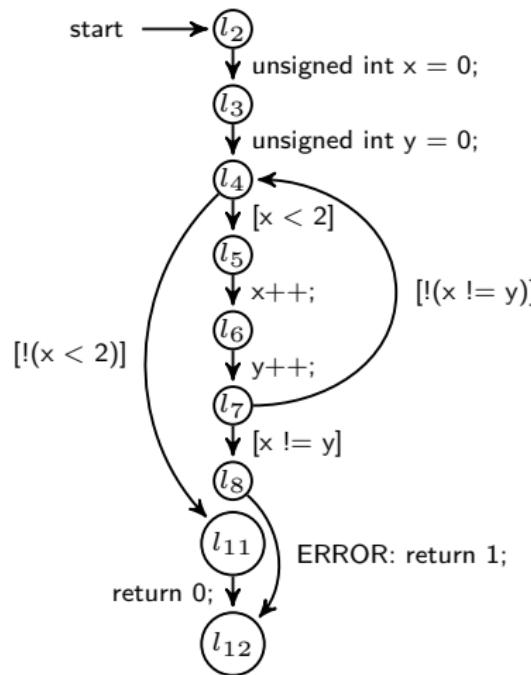
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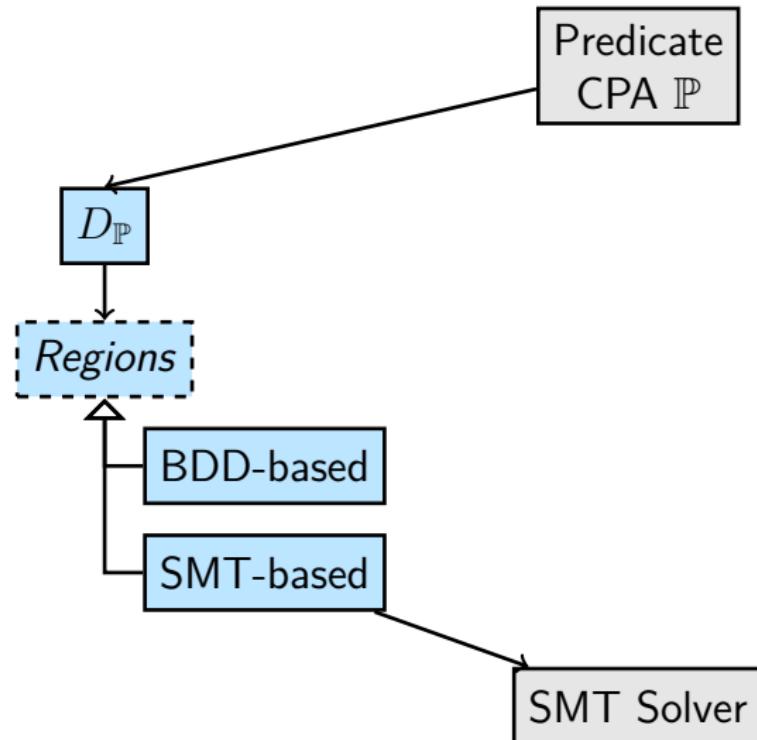


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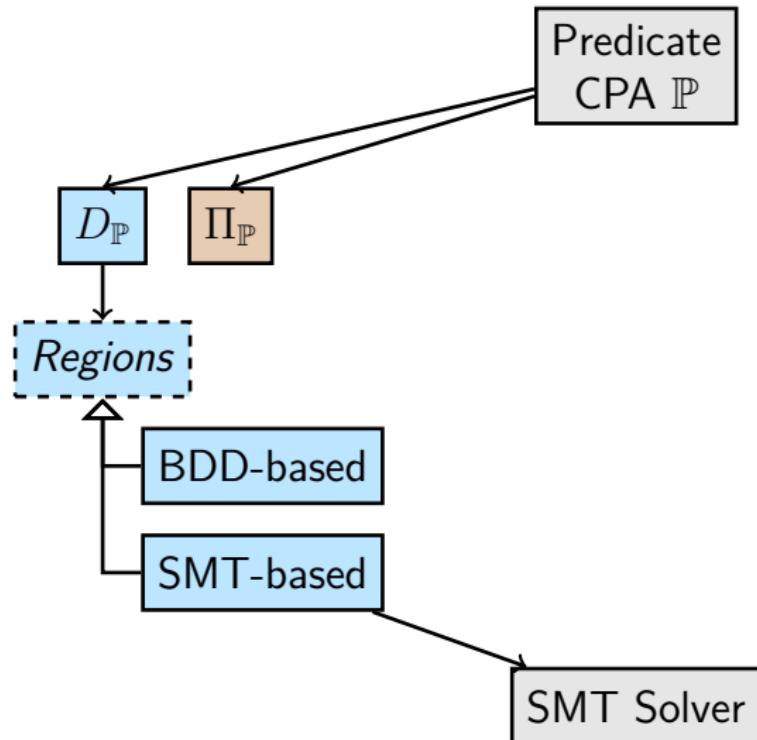
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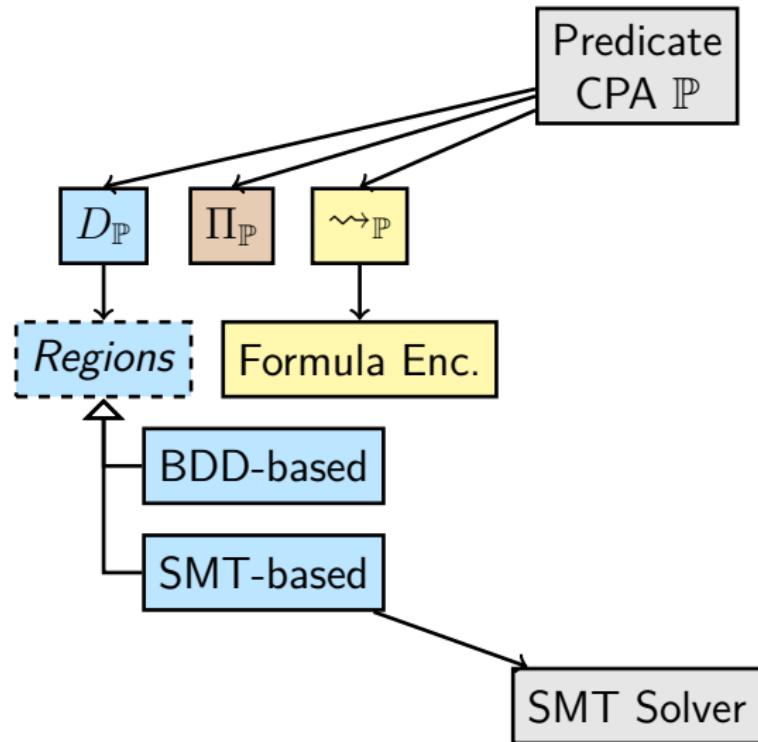
# Predicate CPA



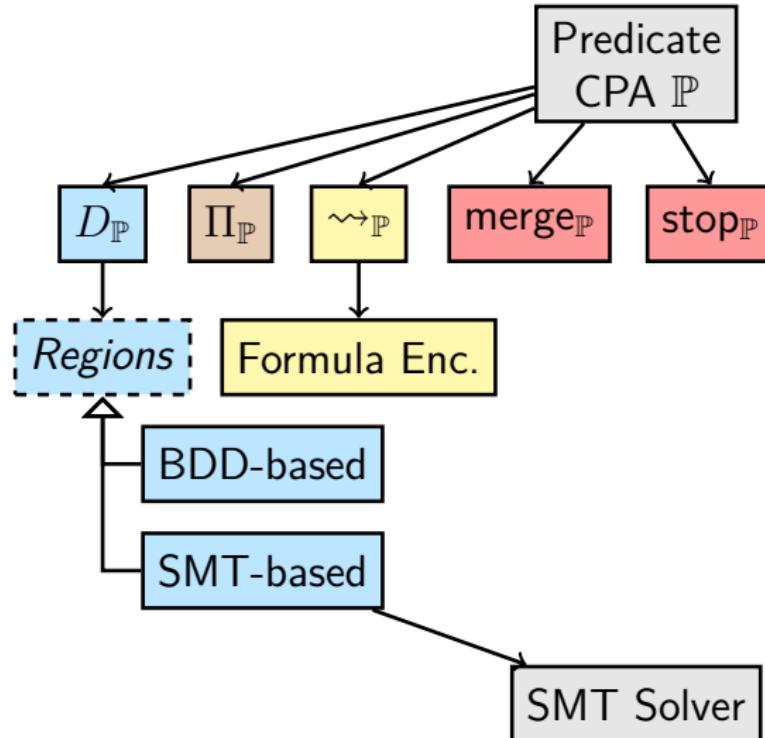
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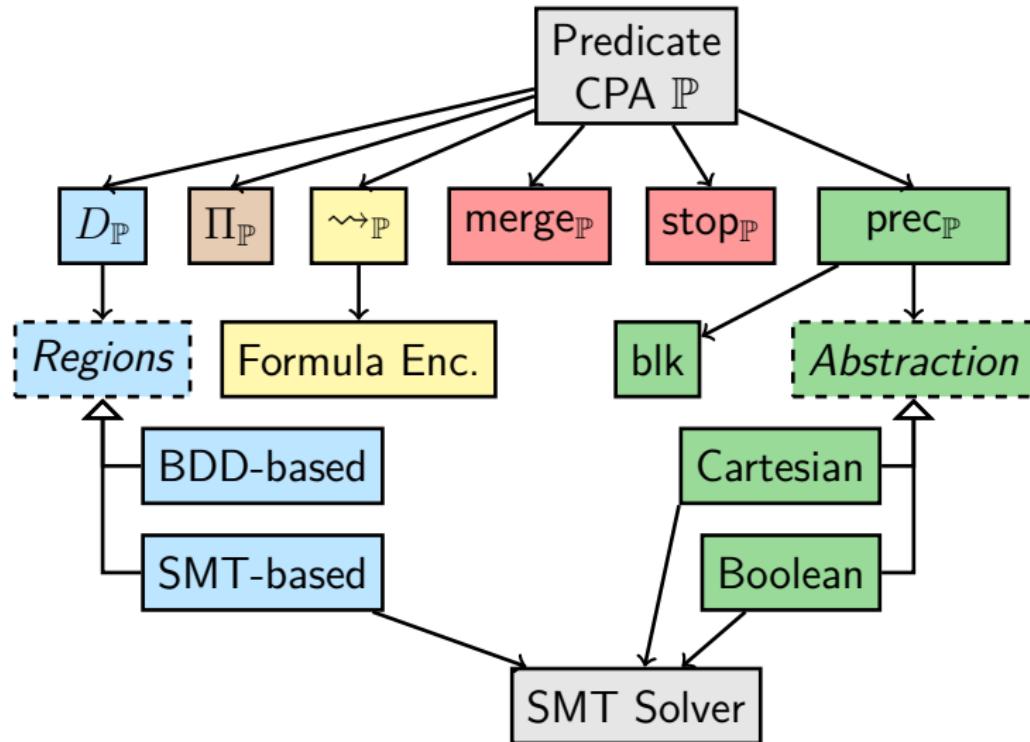
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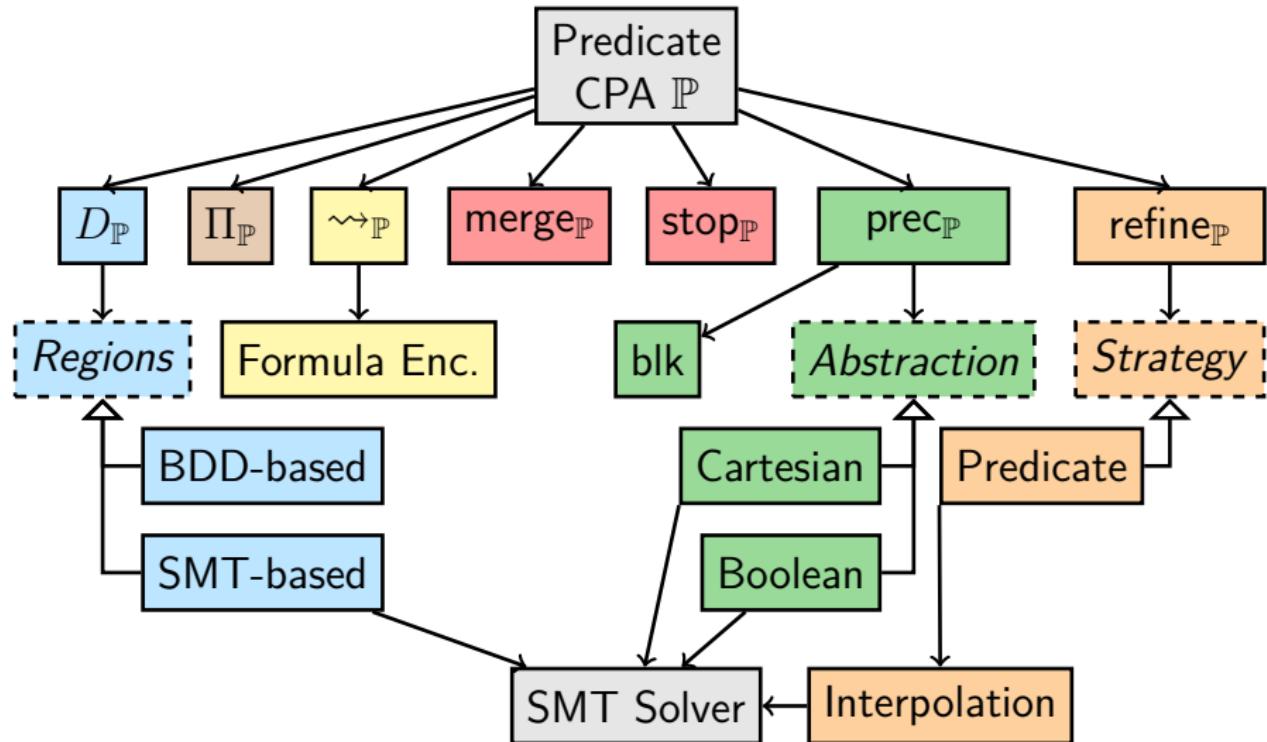
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# Predicate CPA $\mathbb{P}$



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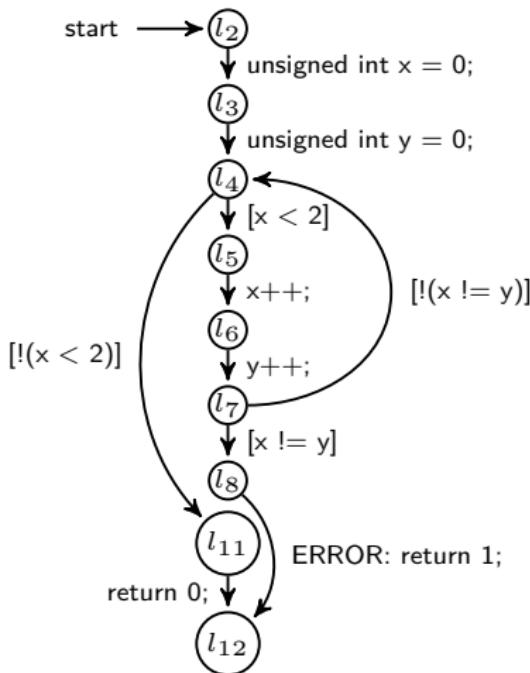


# IMPACT

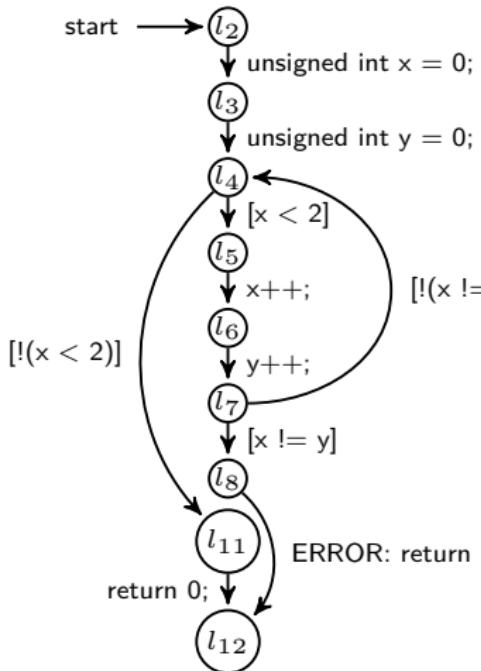
- ▶ IMPACT
  - ▶ "Lazy Abstraction with Interpolants"
  - ▶ McMillan: [CAV'06]
  - ▶ Counter-draft to predicate abstraction
  - ▶ Abstraction is derived dynamically/lazily
  - ▶ Solution to avoiding expensive abstraction computations
  - ▶ Compute fixed point over three operations
    - ▶ Expand
    - ▶ Refine
    - ▶ Cover
  - ▶ Quick exploration of the state space
  - ▶ Good for finding bugs

# IMPACT: Example

$e_0: (l_2, (\text{true}, l_2, \text{true}))$

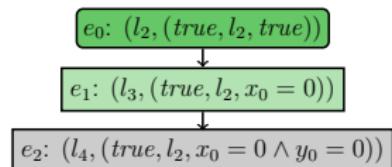
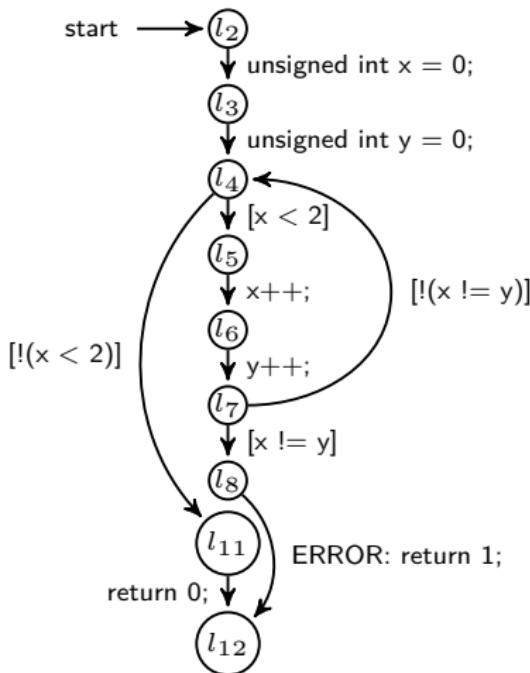


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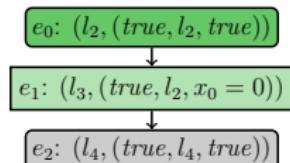
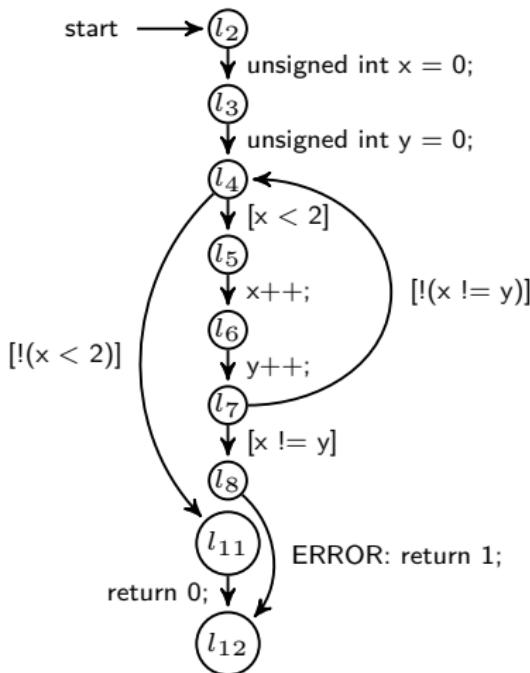


$e_0: (l_2, (\text{true}, l_2, \text{true}))$   
↓  
 $e_1: (l_3, (\text{true}, l_2, x_0 = 0))$

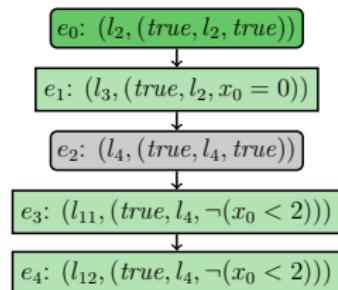
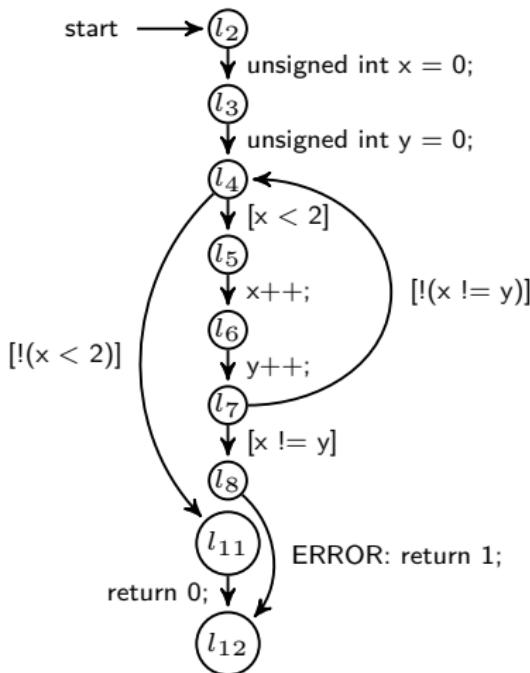
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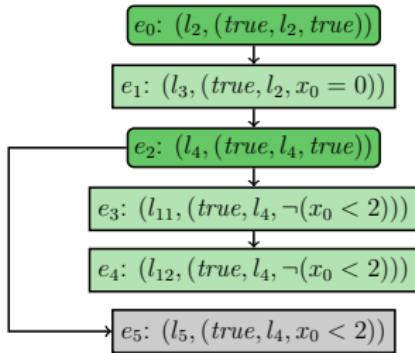
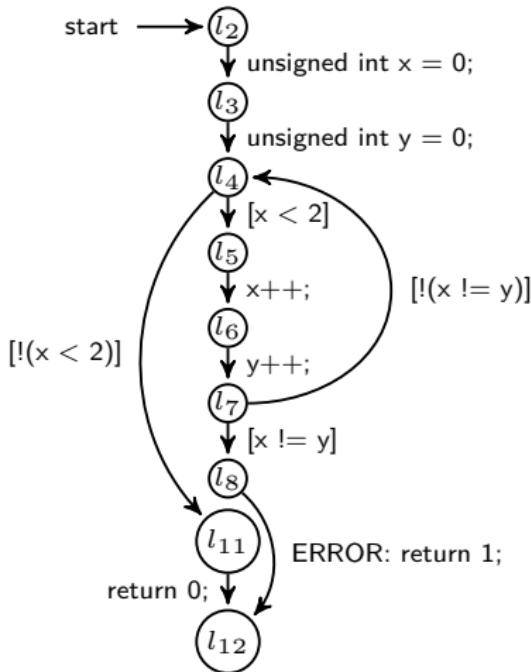
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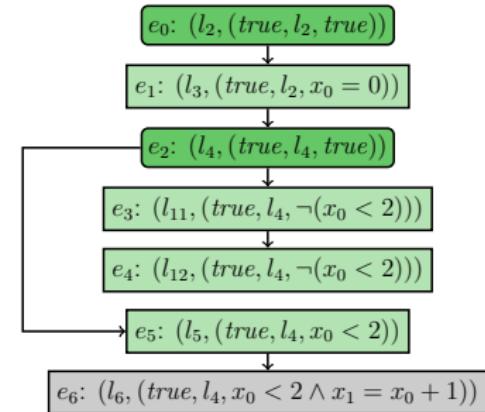
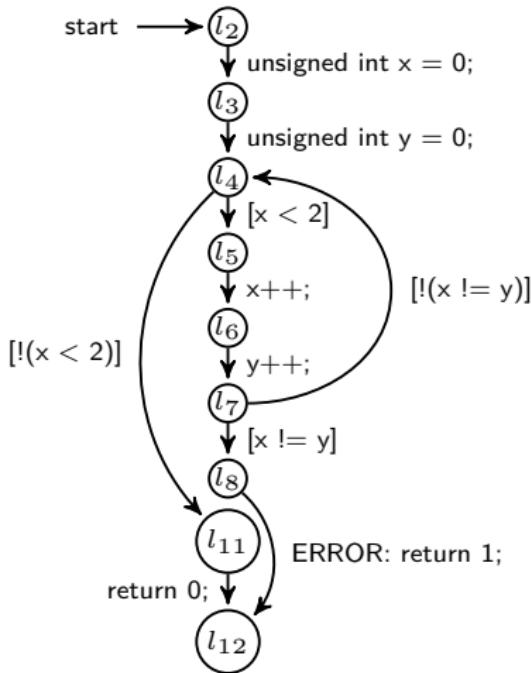
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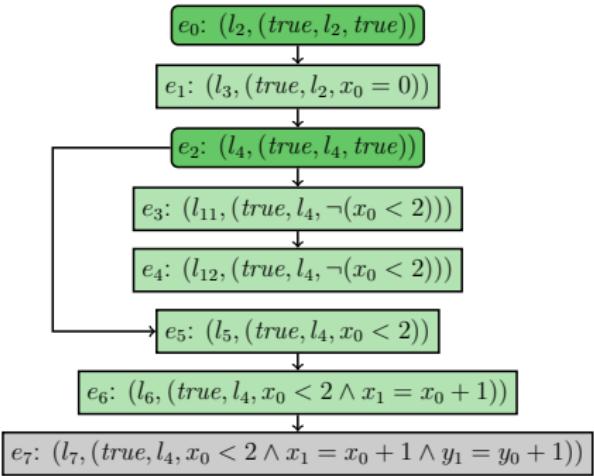
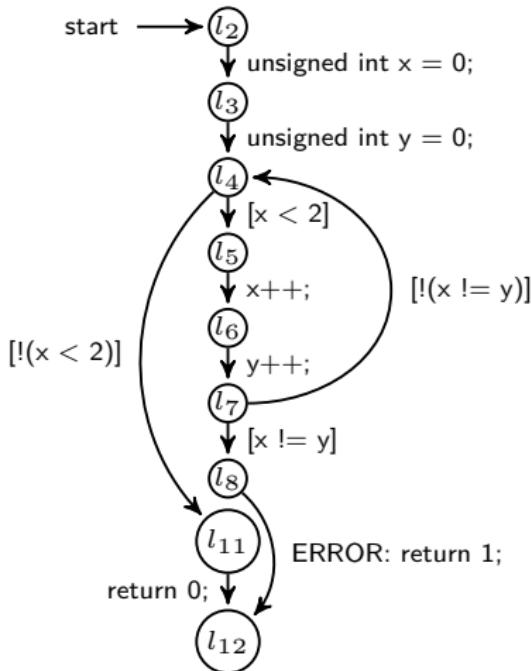
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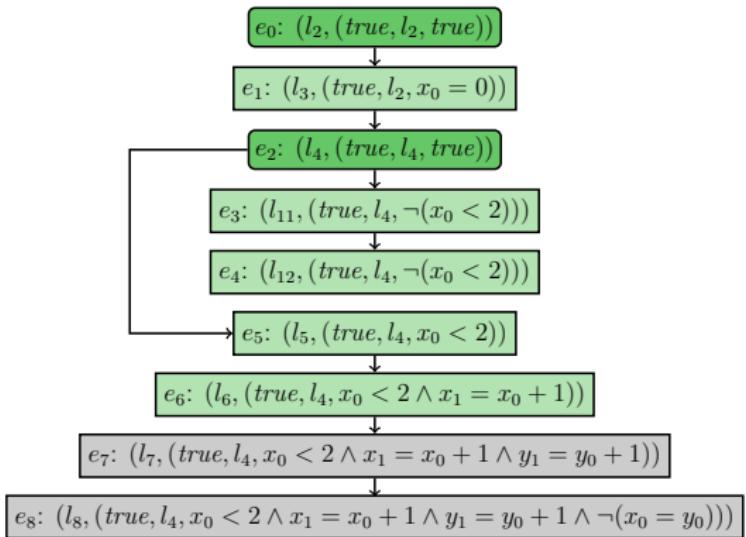
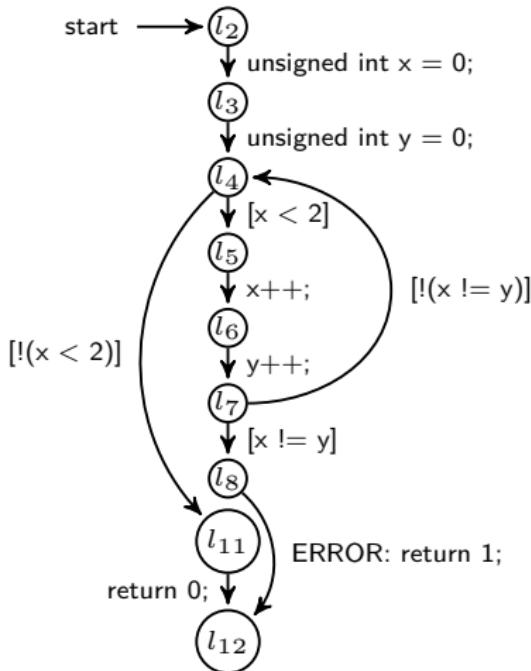
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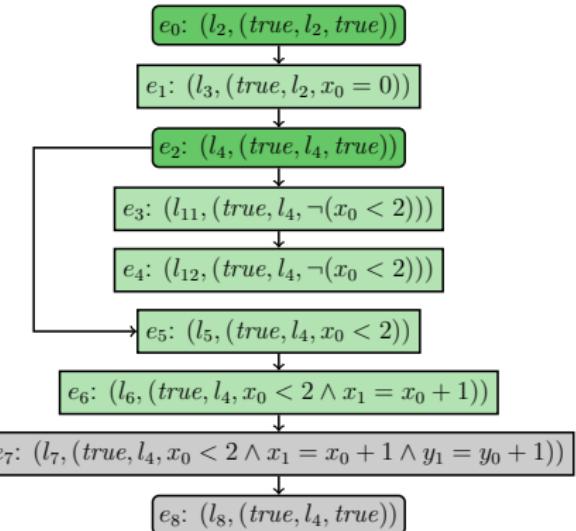
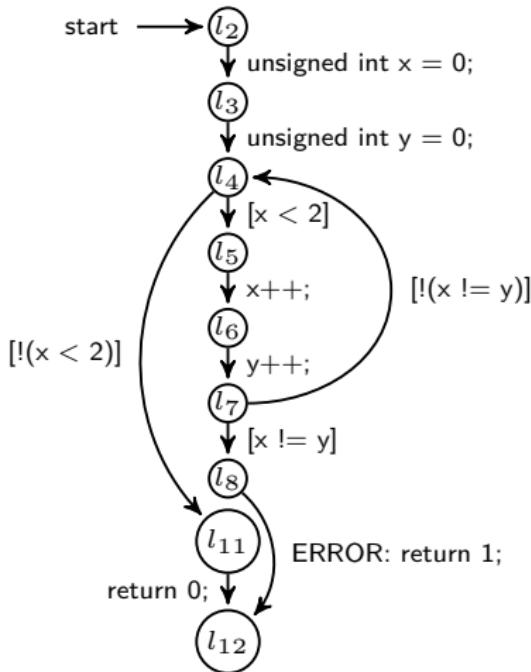
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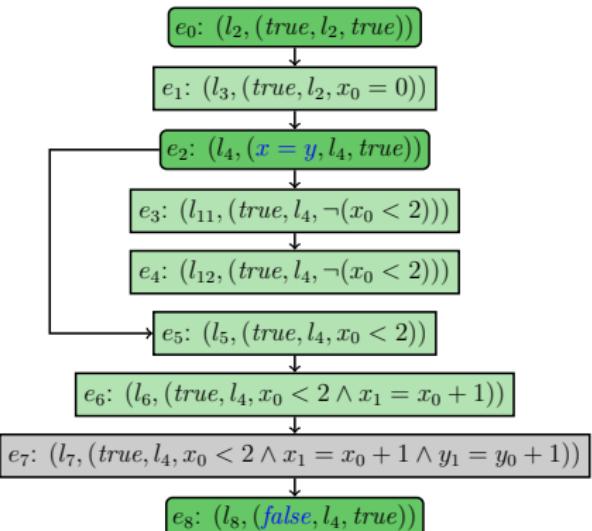
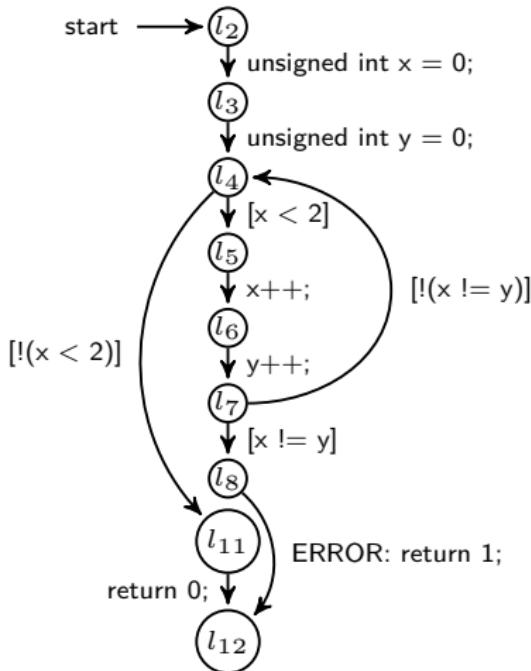
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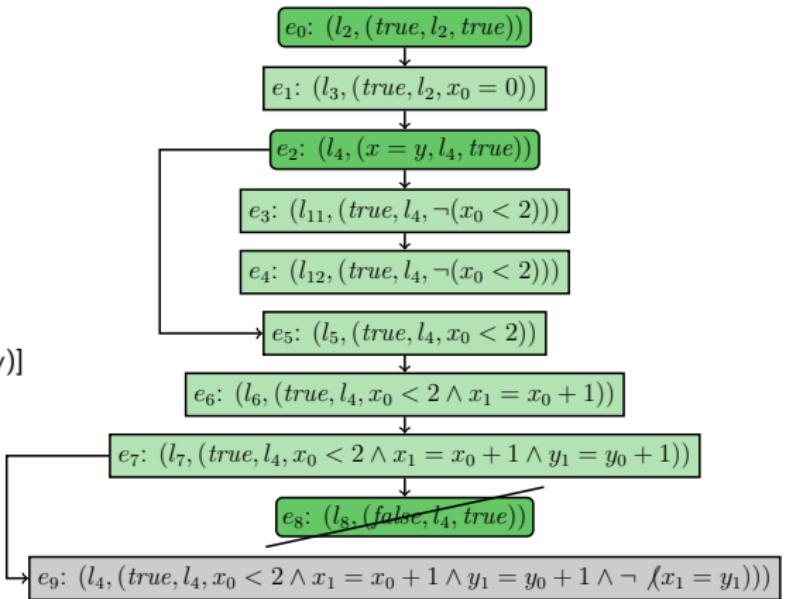
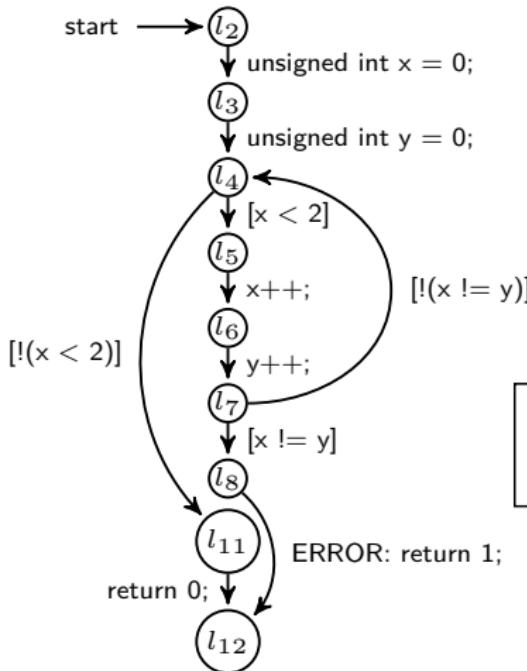
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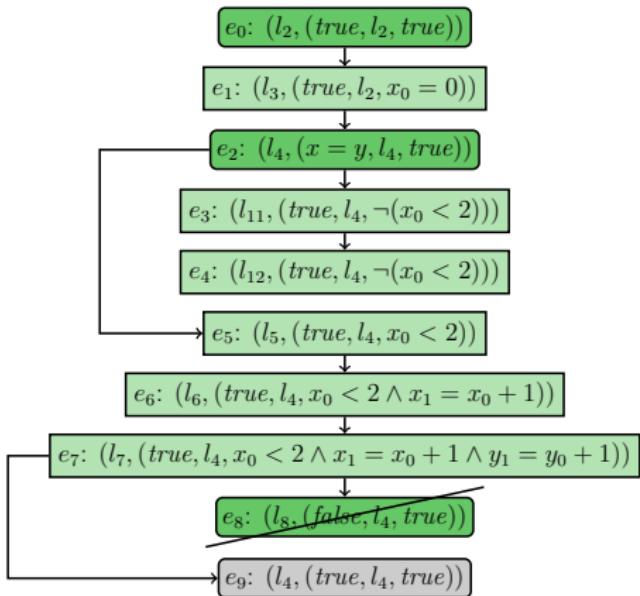
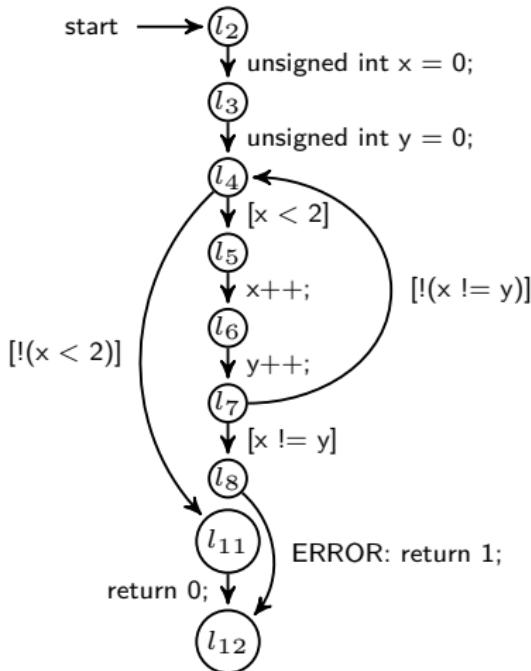
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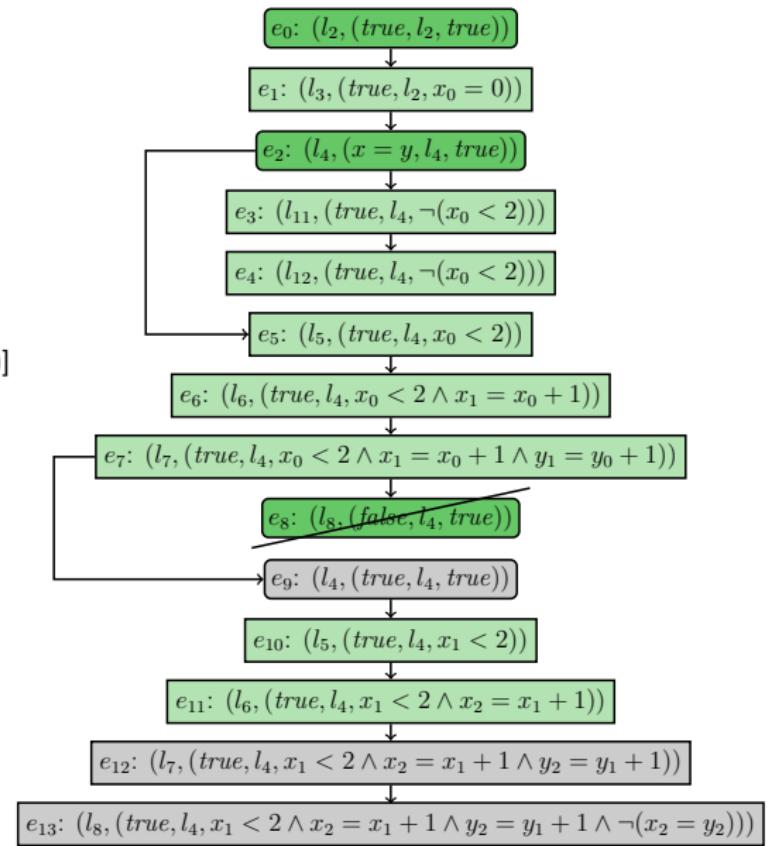
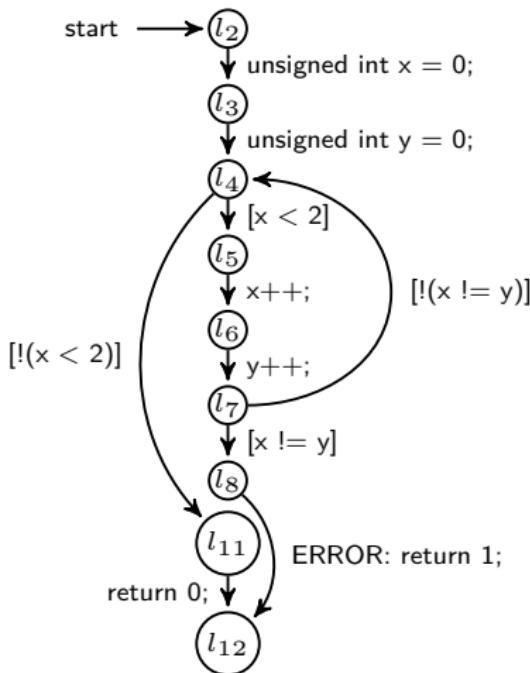
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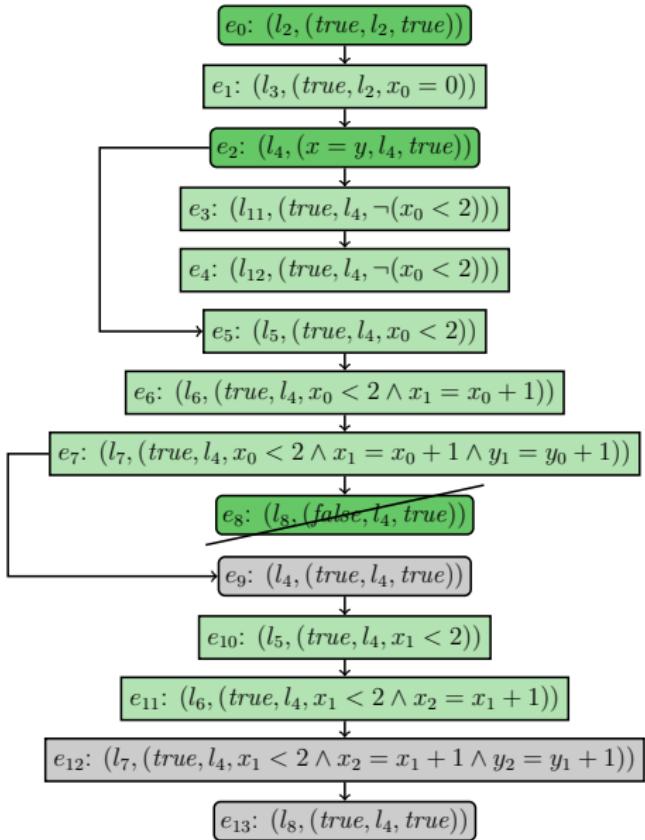
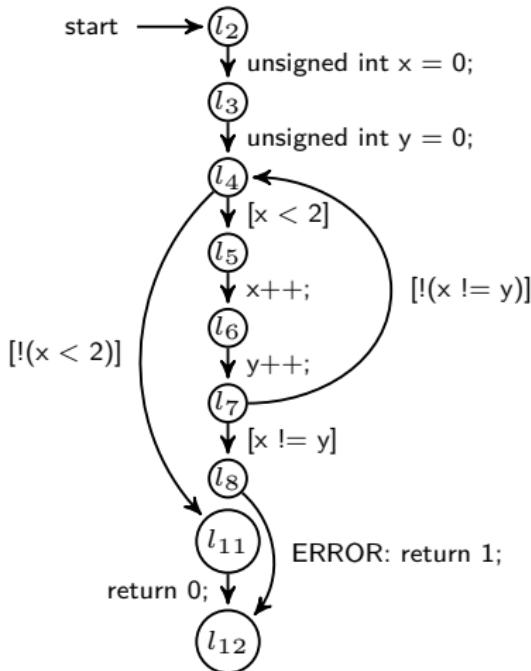
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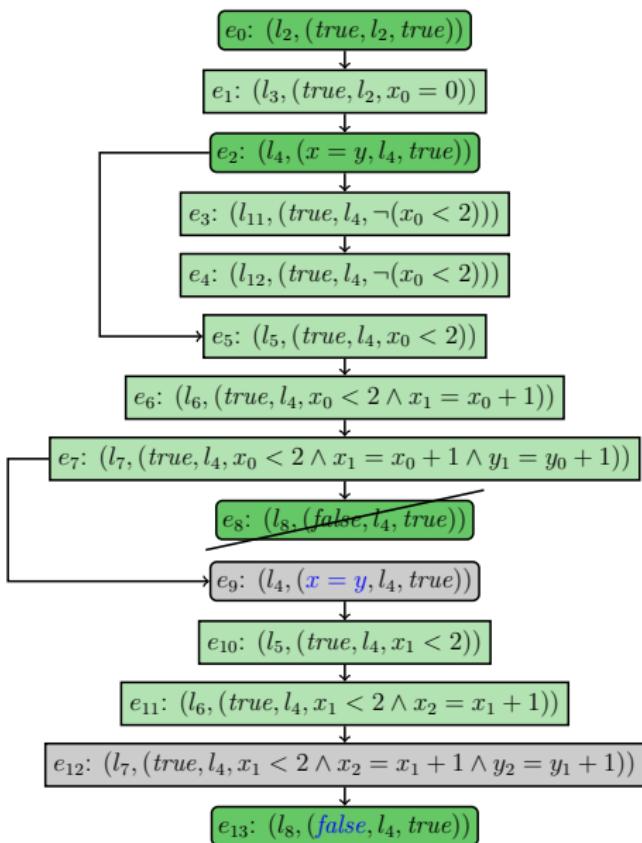
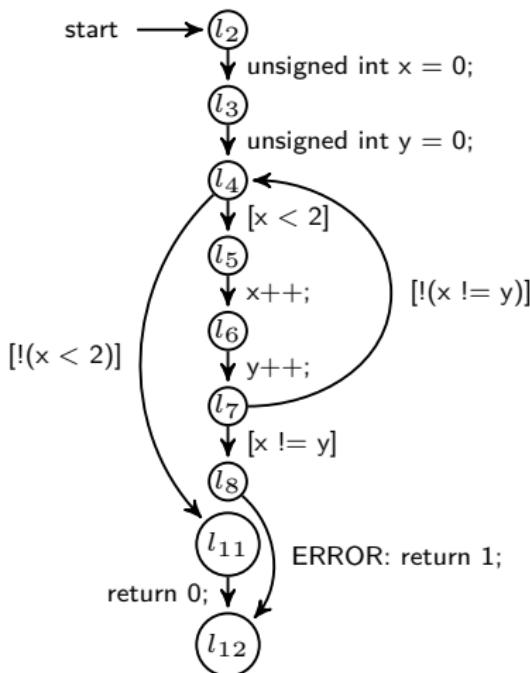
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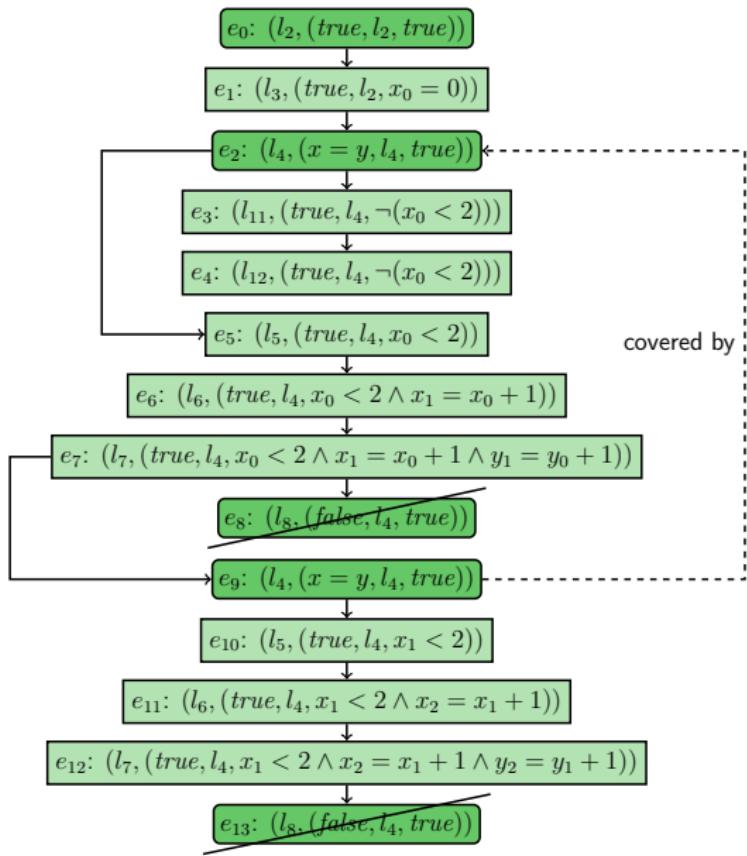
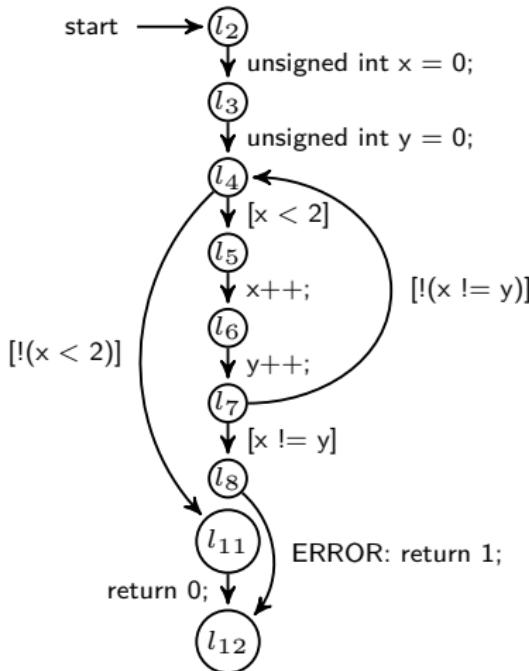
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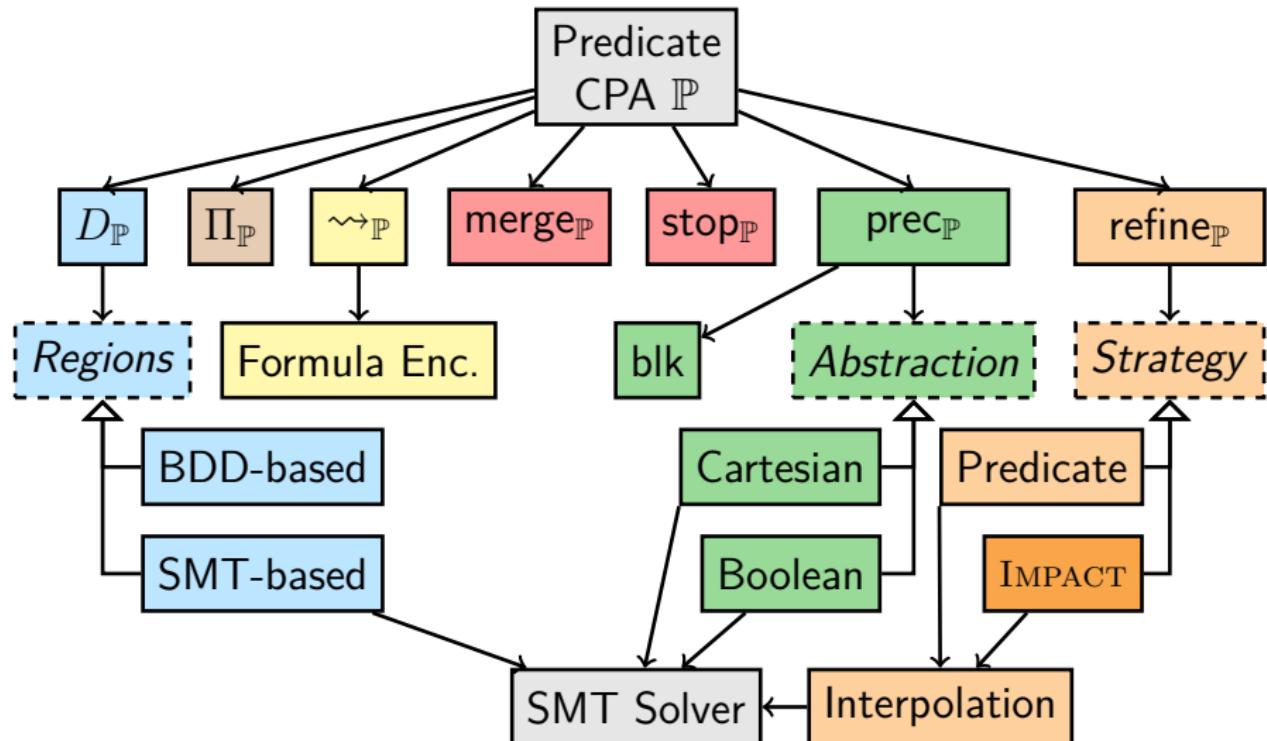
# IMPACT: Example



# IMPACT: Example



# Predicate CPA extended for IMPACT



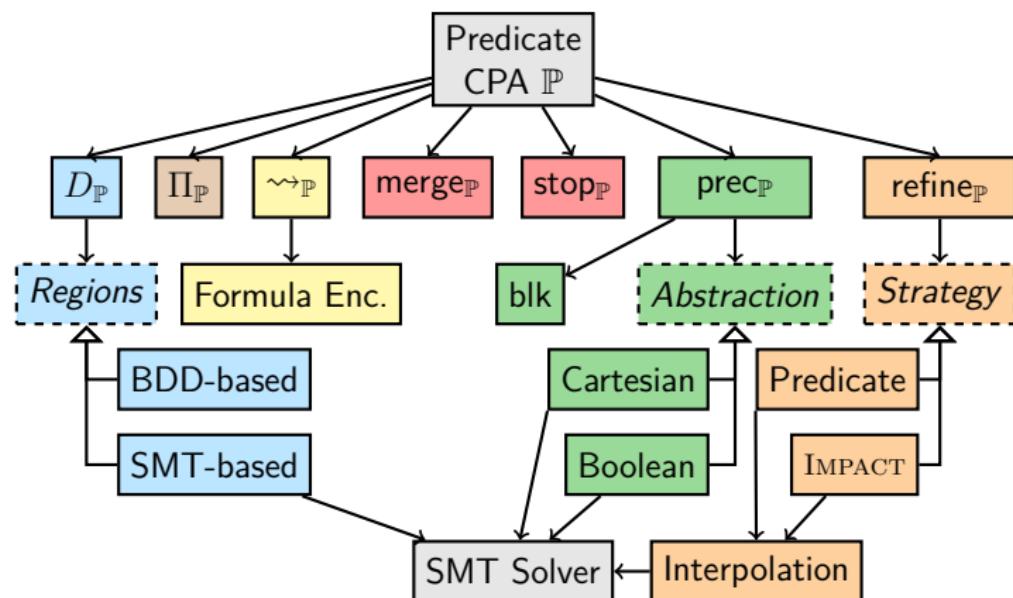
# Bounded Model Checking

- ▶ Bounded Model Checking:
  - ▶ Biere, Cimatti, Clarke, Zhu: [TACAS'99]
  - ▶ No abstraction
  - ▶ Unroll loops up to a loop bound  $k$
  - ▶ Check that  $P$  holds in the first  $k$  iterations:

$$\bigwedge_{i=1}^k P(i)$$

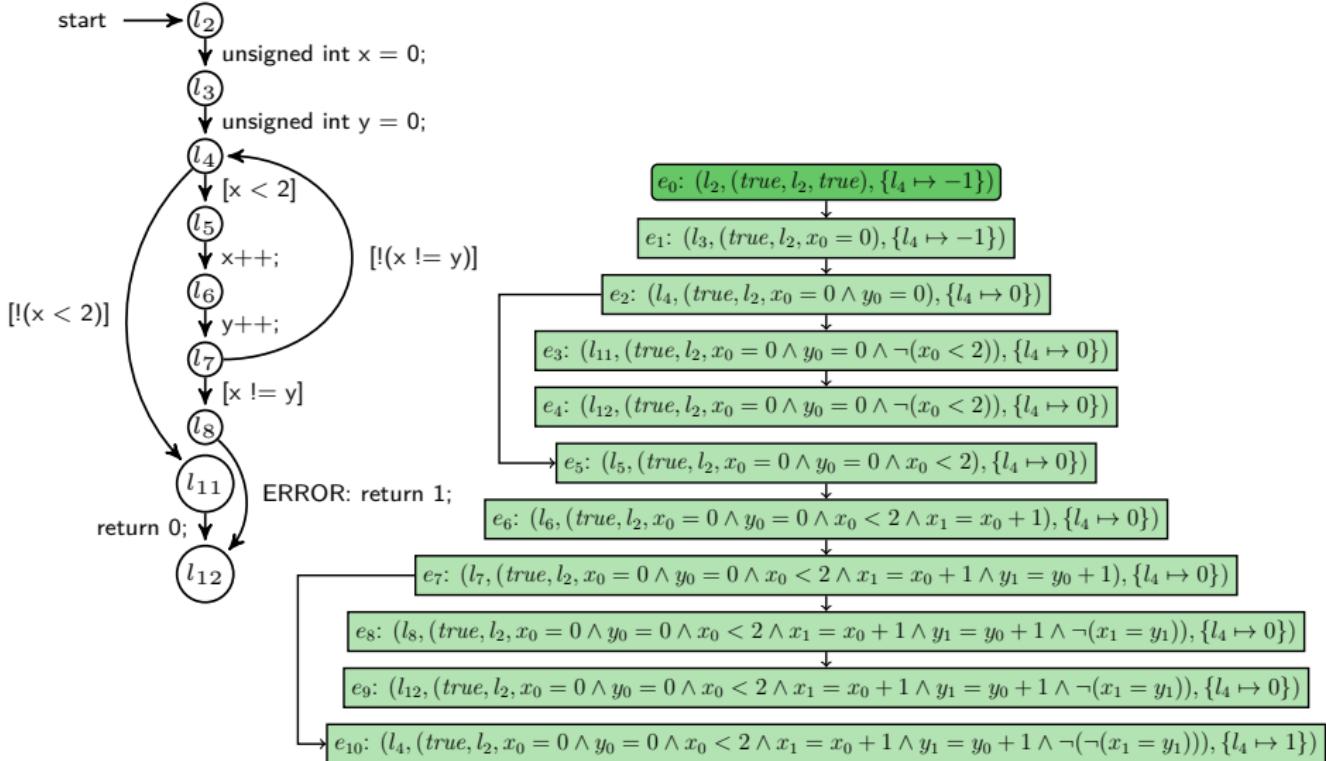
- ▶ Good for finding bugs

# Reuse Predicate CPA to Build BMC Query



Just add a CPA  $\mathbb{LB}$  for counting and bounding loop iterations

# Bounded Model Checking: Example



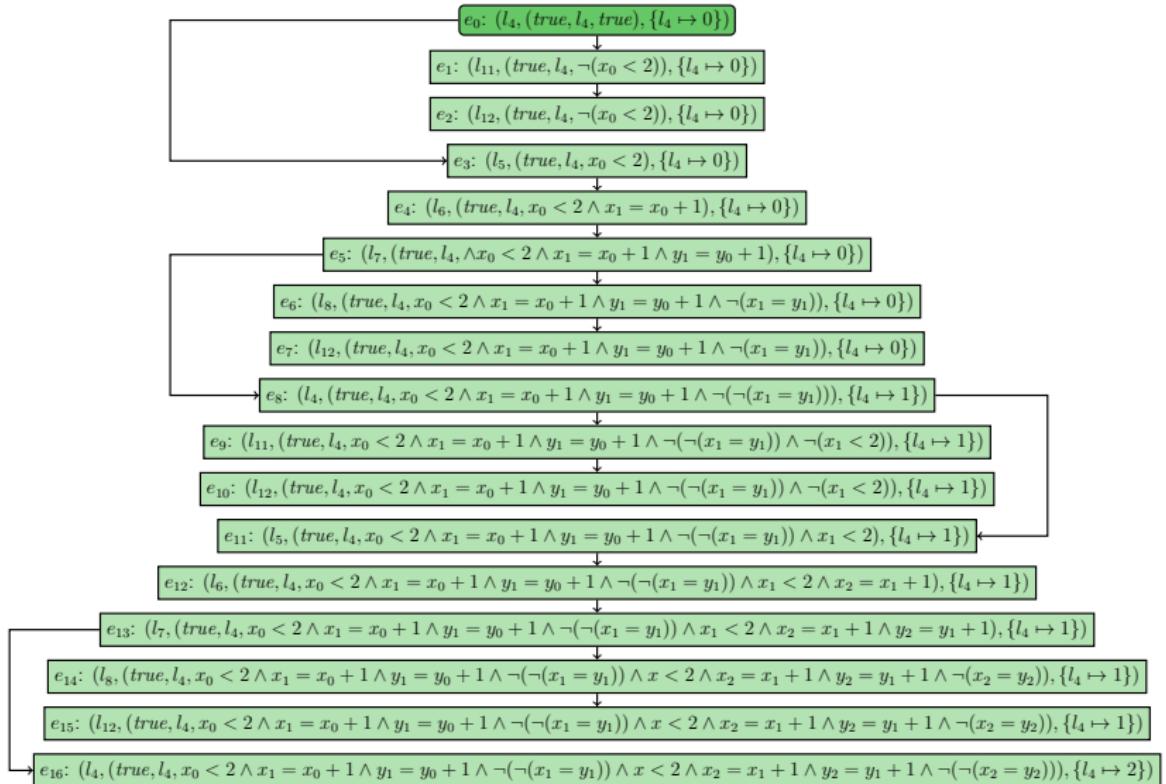
# $k$ -Induction

- ▶  $k$ -Induction generalizes the induction principle:
  - ▶ No abstraction
  - ▶ Base case: Check that  $P$  holds in the first  $k$  iterations:  
→ Equivalent to BMC with loop bound  $k$
  - ▶ Step case: Check that the safety property is  $k$ -inductive:

$$\forall n : \left( \left( \bigwedge_{i=1}^k P(n+i-1) \right) \implies P(n+k) \right)$$

- ▶ Stronger hypothesis is more likely to succeed
- ▶ Add auxiliary invariants
- ▶ Kahsai, Tinelli: [\[PDMC'11\]](#)
- ▶ Heavy-weight proof technique

# $k$ -Induction: Example



# $k$ -Induction with Auxiliary Invariants

## Induction:

- 1:  $k = 1$
- 2: **while** !finished **do**
- 3:   BMC( $k$ )
- 4:   Induction( $k$ , invariants)
- 5:    $k++$

## Invariant generation:

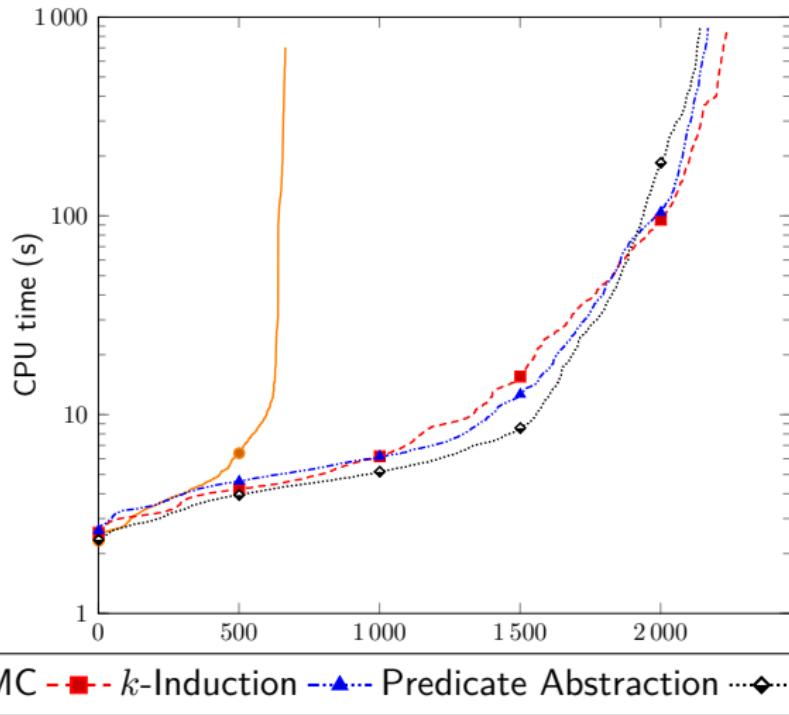
- 1: prec = <weak>
- 2: invariants =  $\emptyset$
- 3: **while** !finished **do**
- 4:   invariants = GenInv(prec)
- 5:   prec = RefinePrec(prec)



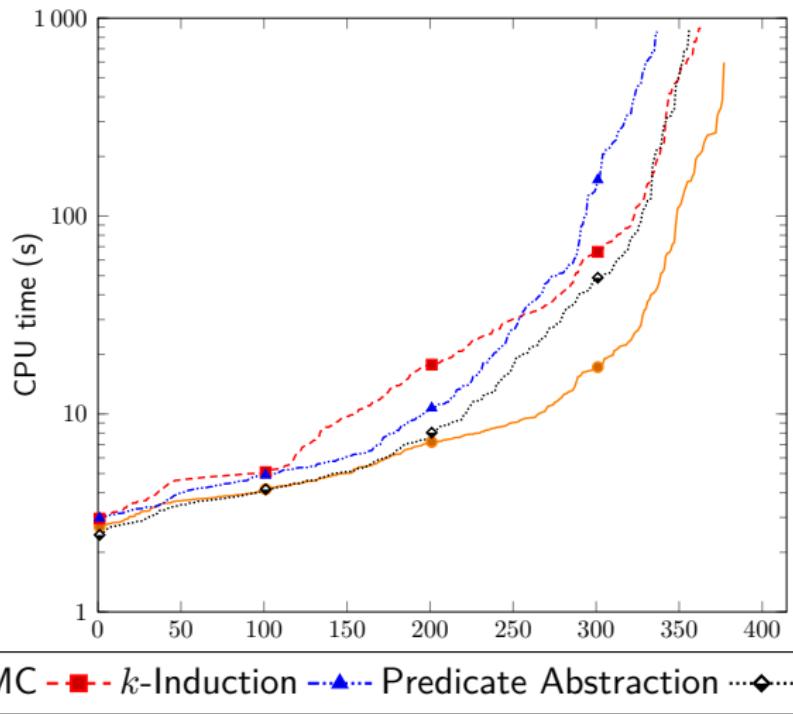
# Experimental Comparison

- ▶ 5 287 verification tasks taken from SV-COMP'17
- ▶ 15 min timeout (CPU time)
- ▶ 15 GB memory
- ▶ SMT solver: MATHSAT 5
- ▶ SMT theory: QF\_UFBVFP
- ▶ Measured with BENCHEXEC

# All 3 913 bug-free tasks



# All 1374 tasks with known bugs

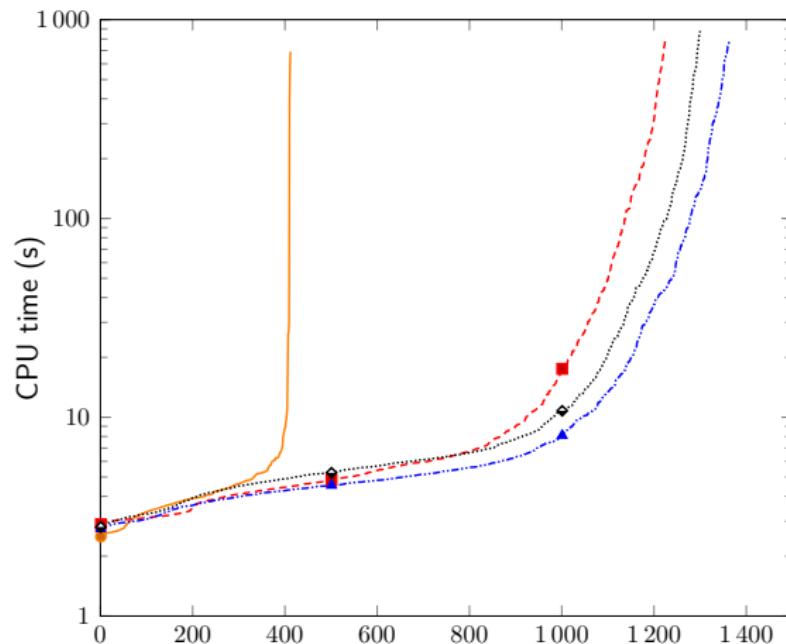


# Category: Device Drivers

- ▶ Several thousands LOC per task
- ▶ Complex structures
- ▶ Pointer arithmetics

# Category: Device Drivers

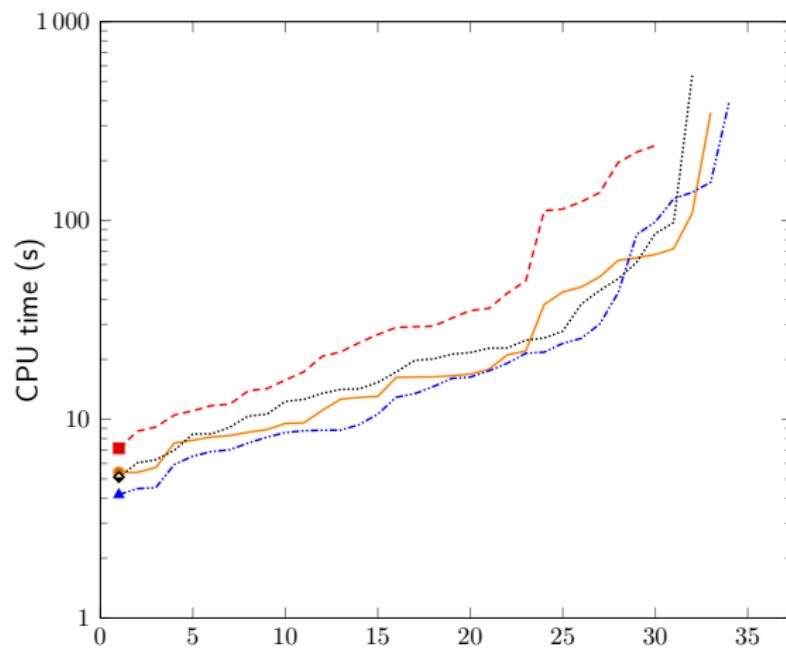
2 440 bug-free tasks:



—●— BMC —■—  $k$ -Induction —▲— Predicate Abstraction —◆— IMPACT

# Category: Device Drivers

355 tasks with known bugs:



## Category: Event Condition Action Systems

- ▶ Several thousand LOC per task
- ▶ Auto-generated
- ▶ Only integer variables
- ▶ Linear and non-linear arithmetics
- ▶ Complex and dense control structure

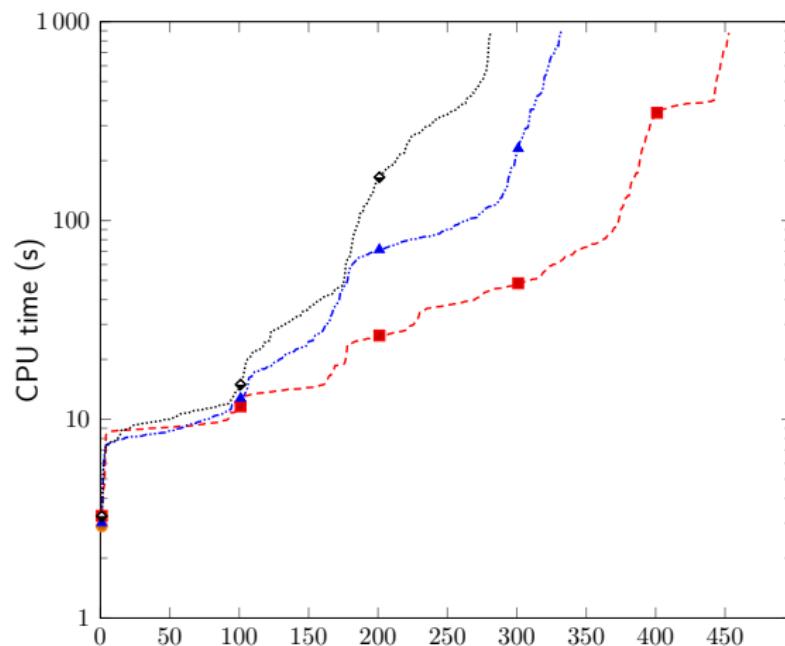
# Category: Event Condition Action Systems

- ▶ Several thousand LOC per task
- ▶ Auto-generated
- ▶ Only integer variables
- ▶ Linear and non-linear arithmetics
- ▶ Complex and dense control structure

```
if (((a24==3) && (((a18==10) && ((input == 6)
    && ((115 < a3) && (306 >= a3))))
    && (a15==4)))) {
    a3 = (((a3 * 5) + -583604) * 1);
    a24 = 0;
    a18 = 8;
    return -1;
}
```

# Category: Event Condition Action Systems

738 bug-free tasks:



—●— BMC —■—  $k$ -Induction —▲— Predicate Abstraction —◆— IMPACT

## Category: Event Condition Action Systems

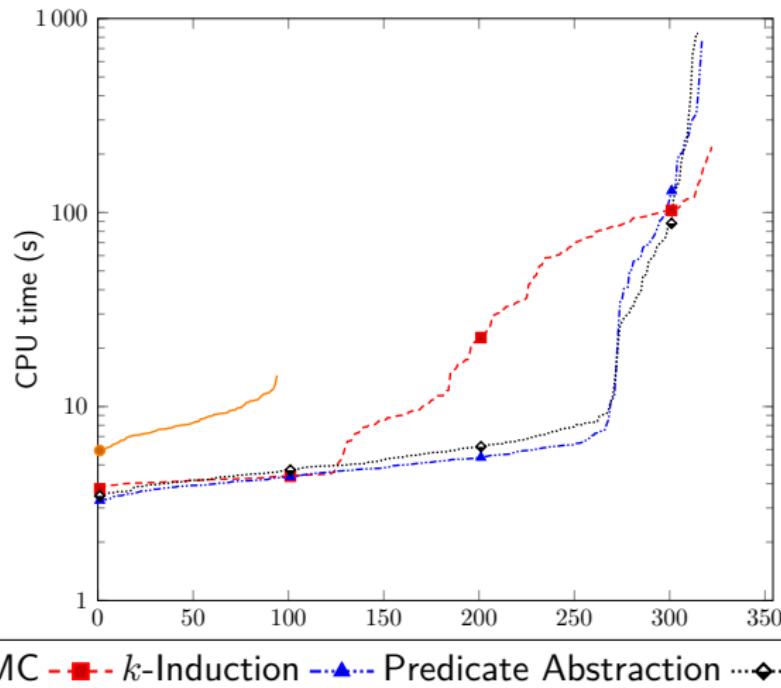
411 tasks with known bugs: Only BMC and  $k$ -induction find one bug (the same one).

## Category: Product Lines

- ▶ Several hundred LOC
- ▶ Mostly integer variables, some structs
- ▶ Mostly simple linear arithmetics
- ▶ Lots of property-independent code

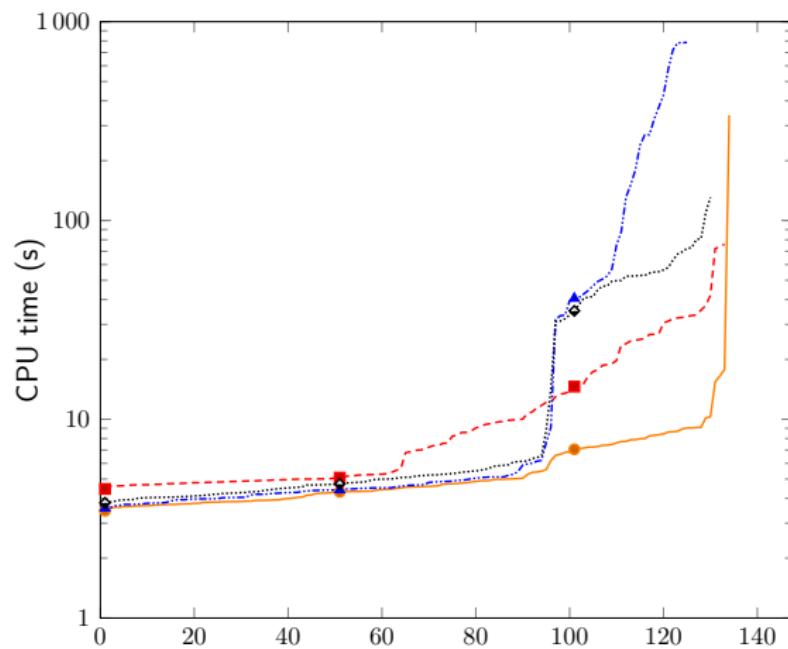
# Category: Product Lines

332 bug-free tasks:



# Category: Product Lines

265 tasks with known bugs:



—●— BMC —■—  $k$ -Induction —▲— Predicate Abstraction —◆— IMPACT

# Summary

We reconfirm that

- ▶ BMC is a good bug hunter
- ▶  $k$ -Induction is a heavy-weight proof technique: effective, but slow
- ▶ CEGAR makes abstraction techniques (Predicate Abstraction, IMPACT) scalable
- ▶ IMPACT is lazy, and explores the state space and finds bugs quicker
- ▶ Predicate Abstraction is eager, and prunes irrelevant parts and finds proofs quicker

# Outlook

- ▶ Find a way to integrate PDR into this framework
- ▶ Combine PDR with  $k$ -Induction